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HOUSE BILL No. 1623

AM162312 has been incorporated into February 14, 2023 printing.

Synopsis: Administrative rulemaking.

February 14, 2023

First Regular Session of the 123rd General Assembly (2023)

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PRINTING CODE. Amendments: Whenever an existing statute (or a section of the Indiana Constitution) is being amended, the text of the existing provision will appear in this style type, additions will appear in **this style type**, and deletions will appear in **this style type**. Additions: Whenever a new statutory provision is being enacted (or a new constitutional provision adopted), the text of the new provision will appear in **this style type**. Also, the word **NEW** will appear in that style type in the introductory clause of each SECTION that adds a new provision to the Indiana Code or the Indiana Constitution.

Conflict reconciliation: Text in a statute in *this style type* or *this style type* reconciles conflicts between statutes enacted by the 2022 Regular Session of the General Assembly.

HOUSE BILL No. 1623

A BILL FOR AN ACT to amend the Indiana Code concerning state offices and administration.

Be it enacted by the General Assembly of the State of Indiana:

1	SECTION 1. IC 4-3-22-13, AS AMENDED BY P.L.5-2015,
2	SECTION 3, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
3	JULY 1, 2023]: Sec. 13. (a) Except as provided in subsection (c), the
4	OMB shall perform a cost benefit analysis upon each proposed rule and
5	provide to:
6	(1) the governor; and
7	(2) the legislative council;
8	an assessment of the rule's effect on Indiana business. The OMB shall
9	submit the cost benefit analysis to the legislative council in an
10	electronic format under IC 5-14-6.
11	(b) After June 30, 2005, the cost benefit analysis performed by the
12	OMB under this section with respect to any proposed rule that has an
13	impact of at least five hundred thousand dollars (\$500,000) shall
14	replace and be used for all purposes under IC 4-22-2 in lieu of the



fiscal analysis previously performed by the legislative services agency under IC 4-22-2.

3 (c) The OMB and the budget agency shall review a regulatory 4 analysis and proposed rule submitted by an agency under 5 IC 4-22-2-22.8. In preparing a cost benefit reviewing a regulatory 6 analysis and proposed rule under this section, the OMB shall consider 7 in its analysis any verified data provided voluntarily by interested 8 parties, regulated persons, and nonprofit corporations whose members 9 may be affected by the proposed rule. A cost benefit analysis prepared 10 under this section is a public document. subject to the following: (1) This subsection However, this section does not empower the OMB or 11 12 an agency to require an interested party or a regulated person to 13 provide any materials, documents, or other information. in connection 14 with a cost benefit analysis under this section. If an interested party or 15 a regulated person voluntarily provides materials, documents, or other information to the OMB or an agency, in connection with a cost benefit 16 analysis under this section, the OMB or the agency, as applicable, shall 17 18 ensure the adequate protection of any: 19 (A) (1) information that is confidential under IC 5-14-3-4; or

(B) (2) confidential and proprietary business plans and other confidential information.

22 If an agency has adopted rules to implement IC 5-14-3-4, interested 23 parties and regulated persons must submit the information in accordance with the confidentiality rules adopted by the agency to 24 25 ensure proper processing of confidentiality claims. The OMB and any agency involved in proposing the rule, or in administering the rule 26 27 upon the rule's adoption, shall exercise all necessary caution to avoid 28 disclosure of any confidential information supplied to the OMB or the 29 agency by an interested party or a regulated person.

30 (2) The OMB shall make the cost benefit analysis and other related public documents available to interested parties, 31 32 regulated persons, and nonprofit corporations whose members 33 may be affected by the proposed rule at least thirty (30) days 34 before presenting the cost benefit analysis to the governor and 35 the legislative council under subsection (a).

(d) If the OMB or an agency is unable to obtain verified data for 36 the cost benefit analysis described in subsection (c), the OMB shall 37 state in the cost benefit analysis which data were unavailable for 38 39 purposes of the cost benefit analysis. 40

- (e) If the OMB finds that a proposed rule is:
- 41 (1) an adoption or incorporation by reference of a federal law, 42 regulation, or rule that has no substantive effect on the scope or

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1	intended application of the federal law or rule; or
2	(2) a technical amendment with no substantive effect on an
3	existing Indiana rule;
4	the OMB may not prepare a cost benefit analysis of the rule under this
5	section. The agency shall submit the proposed rule to the OMB with a
6	statement explaining how the proposed rule meets the requirements of
7	this subsection. If the OMB finds that the rule meets the requirements
8	of this subsection, the OMB shall provide its findings to the governor
9	and to the legislative council in an electronic format under IC 5-14-6.
10	If the agency amends or modifies the proposed rule after the OMB
11	finds that a cost benefit analysis may not be prepared for the rule, the
12	agency shall resubmit the proposed rule to the OMB either for a new
13	determination that the rule meets the requirements of this subsection,
14	or for the OMB to prepare a cost benefit analysis of the rule under this
15	section.
16	SECTION 2. IC 4-21.5-2-8 IS ADDED TO THE INDIANA CODE
17	AS A NEW SECTION TO READ AS FOLLOWS [EFFECTIVE JULY
18	1, 2023]: Sec. 8. The amendments made to IC 4-21.5-3-27.5 in the
19	2023 session of the general assembly only apply to agency actions
20	commenced under IC 4-21.5-3 after June 30, 2023.
21	SECTION 3. IC 4-21.5-3-27.5, AS ADDED BY P.L.199-2021,
22	SECTION 1, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
23	JULY 1, 2023]: Sec. 27.5. In a proceeding under this chapter
24	concerning an agency action, the administrative law judge shall order
25	the agency to pay the reasonable attorney's fees incurred in the
26	proceeding by the party challenging the agency action if the party
27	challenging the agency action proves, by a preponderance of the
28	evidence, that:
29	(1) the agency's action was frivolous or groundless; or
30	(2) the agency pursued the action in bad faith;
31	(3) the agency has failed to demonstrate that the agency
32	action is based on a standard or an interpretation of a
33	standard that has the force of law; or
34	(4) the agency has failed to demonstrate that the agency
35	acted within its legal authority.
36	SECTION 4. IC 4-22-2-0.1, AS AMENDED BY P.L.53-2014,
37	SECTION 54, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
38	JULY 1, 2023]: Sec. 0.1. (a) The amendments made to this chapter by
39	P.L.44-1995 apply as follows:
40	(1) The amendments made to sections 13, 19, 23, 25, and 28 of
41	this chapter apply to a rulemaking action that commences after
42	June 30, 1995.

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1 (2) The addition of sections 23.1 and 46 (repealed) of this 2 chapter applies to a rulemaking action that commences after 3 June 30, 1995. 4 (b) This chapter (as effective January 1, 2023) continues to 5 apply after June 30, 2023, to a rulemaking action that is 6 commenced under this chapter before July 1, 2023, and is pending 7 on July 1, 2023. 8 SECTION 5. IC 4-22-2-13, AS AMENDED BY P.L.2-2007, 9 SECTION 52, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 13. (a) Subject to subsections (b), (c), and (d), this 10 chapter applies to the addition, amendment, or repeal of a rule in every 11 12 rulemaking action. 13 (b) This chapter does not apply to the following agencies: 14 (1) Any military officer or board. (2) Any state educational institution. 15 16 (c) This chapter does not apply to a rulemaking action that results in any of the following rules: 17 18 (1) A resolution or directive of any agency that relates solely to 19 internal policy, internal agency organization, or internal 20 procedure and does not have the effect of law. 21 (2) A restriction or traffic control determination of a purely local 22 nature that: 23 (A) is ordered by the commissioner of the Indiana department of transportation; 24 (B) is adopted under IC 9-20-1-3(d), IC 9-21-4-7, or 25 26 IC 9-20-7; and 27 (C) applies only to one (1) or more particularly described 28 intersections, highway portions, bridge causeways, or 29 viaduct areas. 30 (3) A rule adopted by the secretary of state under 31 IC 26-1-9.1-526. 32 (4) An executive order or proclamation issued by the governor. (5) A rule adopted by the board of trustees of the Indiana 33 public retirement system, as provided in IC 5-10.5-4-2. 34 35 However, the board shall submit rules adopted by the board 36 to the publisher for publication in the Indiana Register. (d) Except as specifically set forth in IC 13-14-9, IC 13-14-9 37 38 provides alternative procedures for notice and public comment 39 concerning proposed rules for the environmental rules board and 40 the underground storage tank financial assurance board. The 41 department of environmental management, the environmental 42 rules board, and the underground storage tank financial assurance



board shall comply with the procedures in IC 13-14-9 in lieu of complying with sections 23, 24, 26, 27, and 29 of this chapter. do not apply to rulemaking actions under IC 13-14-9. In adopting rules, all other provisions of IC 4-22-2 apply to these agencies, including sections 22.7 and 22.8 of this chapter.

SECTION 6. IC 4-22-2-15 IS AMENDED TO READ AS 6 7 FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 15. Any rulemaking 8 action that this chapter allows or requires an agency to perform, other 9 than final adoption of a rule under section 29, or 37.1, 37.2, or 37.3 of this chapter or IC 13-14-9, may be performed by the individual or 10 group of individuals with the statutory authority to adopt rules for the 11 12 agency, a member of the agency's staff, or another agent of the agency. Final adoption of a rule under section 29, or 37.1, 37.2, or 37.3 of this 13 14 chapter or IC 13-14-9, including readoption of a rule that is subject to 15 sections 24 through 36 or to section 37.1 of this chapter and recalled for further consideration under section 40 of this chapter, may be 16 performed only by the individual or group of individuals with the 17 statutory authority to adopt rules for the agency. 18 19

SECTION 7. IC 4-22-2-17 IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 17. (a) IC 5-14-3 applies to the text of a rule that an agency intends to adopt from the earlier of the date that the agency takes any action under section 24 section 23 of this chapter, otherwise notifies the public of its intent to adopt a rule under any statute, or adopts the rule. (b) IC 5-14-3 applies both to a rule and to the full text of a matter

(b) IC 5-14-3 applies both to a rule and to the full text of a matter directly or indirectly incorporated by reference into the rule.

27 SECTION 8. IC 4-22-2-17.5 IS ADDED TO THE INDIANA 28 CODE AS A NEW SECTION TO READ AS FOLLOWS 29 [EFFECTIVE JULY 1, 2023]: Sec. 17.5. (a) The legislative services 30 agency shall provide electronic summaries or electronic copies of 31 documents submitted to the publisher under this article or 32 IC 13-14-9 to legislators and legislative committees in the manner 33 and on the schedule specified by the legislative council or the 34 personnel subcommittee of the legislative council acting for the 35 legislative council.

(b) If requested in the manner specified by the legislative
council or the personnel subcommittee of the legislative council
acting for the legislative council, an agency shall provide to the
legislative services agency any data, studies, or analyses relied on
by the agency to develop a regulatory analysis or a revised
regulatory analysis. The agency shall comply with any policies
adopted by the legislative council or the personnel subcommittee

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1	of the legislative council governing the format, timing, and manner
2	of delivery of the data, studies, or analyses.
3	SECTION 9. IC 4-22-2-19.5 IS AMENDED TO READ AS
4	FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 19.5. (a) To the extent
5	possible, a rule adopted under this article or under IC 13-14-9.5 shall
6	comply with the following:
7	(1) Minimize the expenses to:
8	(A) regulated entities that are required to comply with the
9	rule;
10	(B) persons who pay taxes or pay fees for government
11	services affected by the rule; and
12	(C) consumers of products and services of regulated entities
13	affected by the rule.
13	(2) Achieve the regulatory goal in the least restrictive manner.
15	(3) Avoid duplicating standards found in state or federal laws.
16	(4) Be written for ease of comprehension.
17	(5) Have practicable enforcement.
18	(b) Subsection (a) does not apply to a rule that must be adopted in
19	a certain form to comply with federal law.
20	SECTION 10. IC 4-22-2-21, AS AMENDED BY P.L.204-2016,
21	SECTION 7, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
22	JULY 1, 2023]: Sec. 21. (a) If incorporation of the text in full would be
23	cumbersome, expensive, or otherwise inexpedient, an agency may
24	incorporate by reference into a rule part or all of any of the following
25	matters:
26	(1) A federal or state statute, rule, or regulation.
27	(2) A code, manual, or other standard adopted by an agent of the
28	United States, a state, or a nationally recognized organization or
29	association.
30	(3) A manual of the department of local government finance
31	adopted in a rule described in IC 6-1.1-31-9.
32	(4) The following requirements:
33	(A) The schedule, electronic formatting, and standard data,
34	field, and record coding requirements for:
35	(i) the electronic data file under IC 6-1.1-4-25
36	concerning the parcel characteristics and parcel
37	assessments of all parcels and personal property return
38	characteristics and assessments; and
39	(ii) the electronic data file under IC 36-2-9-20
40	concerning the tax duplicate.
41	(B) The schedule, electronic formatting, and standard data,
42	field, and record coding requirements for data required to

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1	be submitted under IC 6-1.1-5.5-3 or IC 6-1.1-11-8.
2	(C) Data export and transmission format requirements for
3	information described in clauses (A) and (B).
4	(b) Each matter incorporated by reference under subsection (a)
5	must be fully and exactly described.
6	(c) An agency may refer to a matter that is directly or indirectly
7	referred to in a primary matter by fully and exactly describing the
8	primary matter.
9	(d) Except as otherwise provided in this article, whenever an
10	agency submits a rule to the attorney general, the governor, or the
11	publisher under this chapter, the agency shall also submit a copy of the
12	full text of each matter incorporated by reference under subsection (a)
13	into the rule, other than the following:
14	(1) An Indiana statute or rule.
15	(2) A form or instructions for a form numbered by the Indiana
16	archives and record administration under IC 5-15-5.1-6.
17	(3) The source of a statement that is quoted or paraphrased in
18	full in the rule.
19	(4) Any matter that has been previously filed with the:
20	(A) secretary of state before July 1, 2006; or
21	(B) publisher after June 30, 2006.
22	(5) Any matter referred to in subsection (c) as a matter that is
23	directly or indirectly referred to in a primary matter.
24	(e) An agency may comply with subsection (d) by submitting a
25	paper or an electronic copy of the full text of the matter incorporated
26	by reference.
27	SECTION 11. IC 4-22-2-22.5, AS AMENDED BY P.L.72-2014,
28	SECTION 7, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
29	JULY 1, 2023]: Sec. 22.5. (a) This section applies to a rule that an
30	agency intends to:
31	(1) adopt under sections 24 through 36 of this chapter or section
32	37.2 or 37.3 of this chapter; and
33	(2) readopt under IC 4-22-2.6.
34	(b) As used in this section, "pending rulemaking action" means
35	any rulemaking action in which:
36	(1) either:
37	(A) a notice of intent a public comment period has been
38	published under section 23, 37.2, or 37.3 of this chapter; or
39	(B) a rulemaking action has been commenced under
40	IC 13-14-9; and or
41	(C) a rulemaking action has been commenced under
42	IC 4-22-2.6; and

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1	(2) the rule has not become effective under section 36 of this	
2	chapter.	
3	(c) Each agency shall maintain a current rulemaking docket that	
4	is indexed.	
5	(d) A current rulemaking docket must list each pending	
6	rulemaking action. The docket must state or contain:	
7	(1) the subject matter of the proposed rule;	
8	(2) notices related to the proposed rule, or links to the Indiana	
9	Register where these notices may be viewed;	
10	(3) how comments may be made;	
11	(4) the time within which comments may be made;	
12	(5) where comments and the agency's written response to those	
13	comments may be inspected;	
14	(6) the date, time, and place where a public hearing required	
15	under:	
16	(A) section 26 of this chapter; or	
17	(B) IC 13-14-9;	
18	will be held;	
19	(7) a description of relevant scientific and technical findings	
20	related to the proposed rule, if applicable; and	
21	(8) a reasonable estimate of the timetable for action, updated	
22	periodically as circumstances change, if necessary.	
23	(e) The agency shall maintain the rulemaking docket on the	
24	agency's Internet web site. website. The information must be in an	
25	open format that can be easily searched and downloaded. Access to the	
26	docket shall, to the extent feasible and permitted by law, provide an	
27	opportunity for public comment on the pertinent parts of the	
28	rulemaking docket, including relevant scientific and technical findings.	
29	Upon request, the agency shall provide a written rulemaking docket.	
30	SECTION 12. IC 4-22-2-22.7 IS ADDED TO THE INDIANA	
31	CODE AS A NEW SECTION TO READ AS FOLLOWS	
32	[EFFECTIVE JULY 1, 2023]: Sec. 22.7. (a) Before complying with	
33	section 22.8 of this chapter, an agency shall conduct a regulatory	
34	analysis for the proposed rule. The regulatory analysis must	
35	evaluate whether the proposed rule does the following:	
36	(1) Minimizes expenses to:	
37	(A) regulated entities that are required to comply with	
38	the rule;	
39	(B) persons who pay taxes or pay fees for government	
40	services affected by the rule; and	
41	(C) consumers of products and services of regulated	
42	entities affected by the rule.	
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1	(2) Achieves the regulatory goal in the least restrictive
2	manner.
3	(3) Has benefits that exceed the fiscal and economic costs of
4	the proposed rule.
5	(4) Avoids duplicating and conflicting standards with other
6	federal, state, or local laws, rules, regulations, or ordinances.
7	(5) Is written for ease of comprehension.
8	(6) Has practicable enforcement.
9	(b) The office of management and budget shall set standards
10	for the criteria, analytical method, treatment technology,
11	economic, fiscal, and other background data to be used by an
12	agency in the regulatory analysis. The regulatory analysis must be
13	submitted in a form that can be easily loaded into commonly used
14	business analysis software and published in the Indiana Register
15	using the format jointly developed by the publisher, the office of
16	management and budget, and the budget agency. The office of
17	management and budget may provide more stringent requirements
18	for rules with fiscal impacts and costs above a threshold amount
19	determined by the office of management and budget. At a
20	minimum, the regulatory analysis must include findings and any
21	supporting data, studies, or analyses prepared for a rule that
22	demonstrate compliance with the following:
23	(1) A requirement in IC 4-3-22-13 explaining how the
24	proposed rule meets the cost benefit requirements in
25	IC 4-3-22-13.
26	(2) A requirement in section 19.5 of this chapter to minimize
27	the expenses to regulated entities that are required to comply
28	with the rule.
29	(3) A statement justifying any requirement or cost that is:
30	(A) imposed on a regulated entity under the rule; and
31	(B) not expressly required by:
32	(i) the statute authorizing the agency to adopt the
33	rule; or
34	(ii) any other state or federal law.
35	The statement required under this subdivision must include
36	a reference to any data, studies, or analyses relied upon by
37	the agency in determining that the imposition of the
38	requirement or cost is necessary.
39	(4) A requirement in IC 4-22-2.1-5 to prepare a statement
40	that describes the annual economic impact of a rule on all
41	small businesses after the rule is fully implemented.
42	(5) A requirement in IC 4-22-2.6 to conduct a review to

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1 consider whether there are any alternative methods of 2 achieving the purpose of the rule that are less costly or less 3 intrusive, or that would otherwise minimize the economic 4 impact of the proposed rule on small businesses. 5 (6) A requirement in IC 13-14-9-3 or IC 13-14-9-4 to publish 6 information concerning the fiscal or economic impact of a 7 rule or alternatives to a rule subject to these provisions. 8 (7) A requirement in IC 13-14-9-3 or IC 13-14-9-4 to publish 9 information concerning differences between the rule and 10 federal law or the annual fiscal and economic impact of any 11 element of the proposed rule that imposes a restriction or 12 requirement that is more stringent than a restriction or 13 requirement imposed under federal law or that applies in a subject area in which federal law does not impose 14 15 restrictions or requirements. 16 (8) A requirement under any other law to conduct an 17 analysis of the cost, benefits, economic impact, or fiscal 18 impact of a rule. 19 (c) If an agency has made a good faith effort to comply with 20 this section, a rule is not invalid solely because the regulatory 21 analysis for the proposed rule is insufficient or inaccurate. 22 SECTION 13. IC 4-22-2-22.8 IS ADDED TO THE INDIANA 23 CODE AS A NEW SECTION TO READ AS FOLLOWS 24 [EFFECTIVE JULY 1, 2023]: Sec. 22.8. (a) After conducting a 25 regulatory analysis under section 22.7 of this chapter, if an agency 26 elects to adopt a rule subject to section 23 of this chapter or 27 IC 13-14-9, the agency shall submit a request to the budget agency 28 and the office of management and budget to authorize 29 commencement of the first and second public comment periods 30 under this chapter or IC 13-14-9 (as applicable). The request must 31 include the following: 32 (1) A general description of the subject matter of the 33 proposed rule. 34 (2) The full text of the proposed rule (including a copy of any 35 matter incorporated by reference under section 21 of this 36 chapter) in the form required by the publisher, including 37 citations to any related authorizing and affected Indiana 38 statutes. 39 (3) The analysis, including supporting data, prepared under 40 section 22.7 of this chapter. 41 (4) Any other information required by the office of 42 management and budget.



(b) The budget agency and the office of management and budget shall expedite the review of the request to adopt a rule. The budget agency and the office of management and budget may do the following:

5(1) Return the request to the agency with a statement6describing any additional information needed to authorize or7disapprove further rulemaking actions on one (1) or more of8the rules in the request.

9 (2) Authorize the commencement of the first and second
10 public comment periods on one (1) or more of the rules in the
11 request with or without changes.

12 (3) Disapprove commencement of the first and second public
13 comment periods on one (1) or more of the rules with a
14 statement of reasons for the disapproval.

15 (c) If an agency has requested authorization for more than one 16 (1) rule in the same request, the budget agency and the office of 17 management and budget may make separate determinations with 18 respect to some or all of the rules in the request. Approval of a 19 request shall be treated as a determination that the review 20 conducted and findings made by the agency comply with the 21 requirements of section 22.7 of this chapter and this section.

(d) Notice of the determination shall be provided to the agency in an electronic format required by the publisher. The budget agency and the office of management and budget may return to the agency any copy of a matter incorporated by reference under section 21 of this chapter that was submitted with the request.

27 (e) If an agency revises a proposed rule after the budget 28 agency and the office of management and budget authorize 29 commencement of the first and second public comment periods, the 30 agency shall resubmit to the publisher, the budget agency, and the 31 office of management and budget a revised regulatory analysis 32 with sufficient information for the budget agency and the office of 33 management and budget to determine the impact the revisions 34 have on the regulatory analysis previously reviewed by the budget 35 agency and the office of management and budget.

36 SECTION 14. IC 4-22-2-23, AS AMENDED BY P.L.152-2012,
37 SECTION 7, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
38 JULY 1, 2023]: Sec. 23. (a) This section does not apply to rules
39 adopted under IC 4-22-2-37.1. An agency may not adopt a proposed
40 rule until the agency has conducted at least two (2) public comment
41 periods, each of which must be at least thirty (30) days in length.
42 (b) At least twenty-eight (28) days before an agency notifies the

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rule.

include the following:

proposed rule.

regulatory analysis.

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public of the agency's intention to adopt a rule under section 24 of this

chapter, the agency shall notify the public of its intention to adopt a

rule by publishing a notice of intent to adopt a rule in the Indiana

Register. An agency shall provide notice in the Indiana Register of

the first public comment period required by subsection (a). To publish notice of the first comment period in the Indiana Register,

documents required by section 21 of this chapter.

(4) The notice required under subsection (c).

(1) The full text of the agency's proposed rule (excluding the

full text of a matter incorporated by reference under section

21 of this chapter). The agency shall submit the rule in the form required by section 20 of this chapter and with the

(2) The latest version of the regulatory analysis submitted to

the budget agency and the office of management and budget

(3) The determination of the budget agency and the office of

management and budget authorizing commencement of the

first and second public comment periods on the proposed

(c) The publication notice of the first comment period must

(1) A general description of the subject matter of the

(2) An overview of the intent and scope of the proposed rule and

(3) The latest version of the regulatory analysis submitted to the budget agency and the office of management and budget

under section 22.8 of this chapter, excluding any appendices

containing any data, studies, or analysis referenced in the

(4) Information concerning where, when, and how a person

may submit written comments on the proposed rule,

including contact information concerning the small business

regulatory coordinator required by section 28.1 of this

(5) Information concerning where, when, and how a person may inspect and copy the regulatory analysis, and any data,

(6) Information concerning where, when, and how a person

may inspect any documents incorporated by reference into

studies, or analyses referenced under subdivision (3).

the proposed rule under section 21 of this chapter.

the agency must submit the following to the publisher:

under section 22.8 of this chapter.

the statutory authority for the rule.

(7) An indication that the notice is for the first of two (2) thirty (30) day periods in which the public may comment on the proposed rule.

Inadequacy or insufficiency of the published description or regulatory analysis published under this section does not invalidate a rulemaking action.

(c) The requirement to publish a notice of intent to adopt a rule under subsection (b) does not apply to rulemaking under IC 13-14-9.

(d) In addition to the procedures required by this article, an agency may solicit comments from the public on the need for a rule, the drafting of a rule, or any other subject related to a rulemaking action, including members of the public who are likely to be affected because they are the subject of the potential rulemaking or are likely to benefit from the potential rulemaking. The procedures that the agency may use include the holding of conferences and the inviting of written suggestions, facts, arguments, or views.

(e) The agency shall prepare a written response that contains a 17 18 summary of the comments received during any part of the rulemaking 19 process. The written response is a public document. The agency shall 20 make the written response available to interested parties upon request. 21 (d) The publisher shall review materials submitted under this 22 section and determine the date that the publisher intends to publish 23 the text of the proposed rule and the notice in the Indiana Register. 24 If the submitted material complies with this section, the publisher 25 shall establish the intended publication date, assign a document 26 control number to the proposed rule, and provide a written or an

27 electronic mail authorization to proceed to the agency. The 28 publisher shall publish the following in the Indiana Register on the 29 intended publication date: 30

(1) The notice of the first comment period.

(2) The full text of the agency's proposed rule (excluding the full text of a matter incorporated by reference under section 21 of this chapter).

SECTION 15. IC 4-22-2-23.1, AS AMENDED BY P.L.123-2006, SECTION 5, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 23.1. (a) This section and section 19(b) of this chapter do not apply to rules adopted under IC 4-22-2-37.1.

38 (b) Before or after an agency notifies the public of its intention to 39 adopt a rule under section 24 of this chapter, submits a request to the 40 budget agency and the office of management and budget under 41 section 22.8 of this chapter, the agency may solicit comments from all 42 or any segment of the public on the need for a rule, the drafting of a

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1	rule, or any other subject related to a rulemaking action. The
2	procedures that the agency may use include the holding of conferences
3	and the inviting of written suggestions, facts, arguments, or views. An
4	agency's failure to consider comments received under this section does
5	not invalidate a rule subsequently adopted.
6	SECTION 16. IC 4-22-2-24, AS AMENDED BY P.L.1-2006,
7	SECTION 71, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
8	JULY 1, 2023]: Sec. 24. (a) An agency shall notify the public of its
9	intention to adopt a rule by complying with the publication
10	requirements in subsections (b) and (c). provide notice in:
11	(1) one (1) newspaper of general circulation in Marion
12	County; and
13	(2) the Indiana Register;
14	of the second public comment period required by section 23 of this
15	chapter.
16	(b) The agency shall cause a notice of a public hearing to be
17	published once in one (1) newspaper of general circulation in Marion
18	County, Indiana. To publish the newspaper notice, the agency shall
19	directly contract with the newspaper. The newspaper notice must
20	contain the following information:
21	(1) A general description of the subject matter of the
22	proposed rule.
23	(2) Information indicating that the text of the proposed rule
24	has been published in the Indiana Register and where on the
25	Internet and by what document control number the
26	proposed rule can be found.
27	(3) A statement of the date, time, and place at which the
28	public hearing required by section 26 of this chapter will be
29	convened.
30	(4) Information concerning where, when, and how a person
31	may provide written comments on the proposed rule,
32	including contact information concerning the small business
33	regulatory coordinator required by section 28.1 of this
34	chapter.
35	(5) Information concerning where, when, and how a person
36	may inspect and copy the agency's regulatory analysis, and
37	any supporting data, studies, or analyses for the proposed
38	rule.
39	(6) Information concerning where, when, and how a person
40	may inspect any documents incorporated by reference into
41	the proposed rule under section 21 of this chapter.
42	An agency may not contract for the publication of a notice under this

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1 chapter until the agency has received a written or an electronic 2 authorization to proceed from the publisher under subsection (g). (f). 3 (c) To publish a notice of the second comment period in the 4 Indiana Register, the agency must submit the following to the 5 publisher: 6 (1) The agency shall cause a notice of public hearing and The 7 full text of the agency's proposed rule (excluding the full text of 8 a matter incorporated by reference under section 21 of this 9 chapter). to be published once in the Indiana Register. To 10 publish the notice and proposed rule in the Indiana Register, the agency shall submit the text to the publisher in accordance with 11 12 subsection (g). The agency shall submit the rule in the form 13 required by section 20 of this chapter and with the documents 14 required by section 21 of this chapter (if the agency has not previously provided the publisher with the documents). The 15 publisher shall determine the number of copies of the rule and 16 other documents to be submitted under this subsection. 17 18 subdivision. 19 (2) Either a statement indicating that no changes in the 20 regulatory analysis have been made from the version of the 21 regulatory analysis published under section 23 of this 22 chapter or the latest version of the regulatory analysis 23 submitted to the budget agency and the office of 24 management and budget under section 22.8 of this chapter, 25 if any changes have been made in the regulatory analysis 26 after submitting the material under section 23 of this 27 chapter. 28 (3) The notice required under subsection (d). 29 (d) The agency shall include the following in the second comment 30 period notice required by subsections (b) and (c): published in the 31 **Indiana Register:** 32 (1) A statement of the date, time, and place at which the public 33 hearing required by section 26 of this chapter will be convened. 34 (2) A general description of the subject matter of the proposed 35 rule. 36 (3) In a notice published after June 30, 2005, a statement justifying any requirement or cost that is: 37 38 (A) imposed on a regulated entity under the rule; and

39 (B) not expressly required by:
40 (i) the statute authorizing the agency to adopt the rule;
41 or
42 (ii) any other state or federal law.



1 The statement required under this subdivision must include a 2 reference to any data, studies, or analyses relied upon by the 3 agency in determining that the imposition of the requirement or 4 cost is necessary. 5 (4) an explanation that: 6 (A) the proposed rule; and 7 (B) any data, studies, or analysis referenced in a statement 8 under subdivision (3); 9 may be inspected and copied at the office of the agency. (3) A summary of the written comments received by the 10 11 agency during the first comment period and a summary of 12 the response of the agency to written comments submitted 13 under section 23 of this chapter during the first public 14 comment period. 15 (4) Either a statement indicating that no changes in the 16 regulatory analysis have been made from the version of the 17 regulatory analysis published under section 23 of this 18 chapter or the latest version of the regulatory analysis 19 (excluding any appendices containing any data, studies, or 20 analysis referenced in the regulatory analysis) submitted to 21 the budget agency and the office of management and budget 22 under section 22.8 of this chapter, if any changes have been 23 made in the regulatory analysis after submitting the material 24 to the publisher under section 23 of this chapter. 25 (5) An explanation of any differences between the text of the 26 proposed rule published for the first comment period under 27 section 23 of this chapter and the text of the proposed rule 28 published for the second comment period under this section. 29 (6) Information concerning where, when, and how a person 30 may submit written comments on the proposed rule, 31 including contact information concerning the small business 32 regulatory coordinator required by section 28.1 of this 33 chapter. 34 (7) Information concerning where, when, and how a person 35 may inspect and copy the regulatory analysis and any data, 36 studies, or analyses referenced in a regulatory analysis 37 referenced in subdivision (4). 38 (8) Information concerning where, when, and how a person 39 may inspect any documents incorporated by reference into 40 the proposed rule under section 21 of this chapter. 41 (9) An indication that the notice is for the second of two (2) 42 thirty (30) day periods in which the public may comment on



1 the proposed rule and that following the second comment 2 period the agency may adopt a version of the proposed rule 3 that is the same as or does not substantially differ from the 4 text of the proposed rule published under this section. 5 However, Inadequacy or insufficiency of the subject matter description 6 under subdivision (2) or a statement of justification under subdivision 7 (3) or regulatory analysis in a notice published under this section 8 does not invalidate a rulemaking action. 9 (e) Although the agency may comply with the publication 10 requirements in this section on different days, the agency must comply with all of the publication requirements in this section at least 11 12 twenty-one (21) thirty (30) days before the public hearing required by 13 section 26 of this chapter is convened. 14 (f) This section does not apply to the solicitation of comments 15 under section 23 of this chapter. 16 (g) (f) The publisher shall review materials submitted under this section and determine the date that the publisher intends to include the 17 18 material in the Indiana Register. After: 19 (1) establishing the intended publication date; and 20 (2) receiving the public hearing information specified in subsection (d) from the agency; 21 22 the publisher shall If the submitted material complies with this section, the publisher shall establish the intended publication date, 23 24 assign a document control number to the proposed rule, and 25 provide a written or an electronic mail authorization to proceed to the 26 agency. The publisher shall publish the following in the Indiana 27 **Register on the intended publication date:** (1) The notice of the second comment period. 28 29 (2) The full text of the agency's proposed rule (excluding the 30 full text of a matter incorporated by reference under section 31 21 of this chapter). 32 SECTION 17. IC 4-22-2-25, AS AMENDED BY P.L.5-2015, SECTION 6, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 33 34 JULY 1, 2023]: Sec. 25. (a) An agency has one (1) year from the date 35 that it publishes a notice of intent to adopt a rule in the Indiana Register under section 23 of this chapter to comply with sections 26 through 33 36 37 of this chapter of the first public comment period under section 23 38 of this chapter to comply with sections 23 through 33 of this 39 chapter and obtain the approval or deemed approval of the governor. 40 If an agency determines that a rule cannot be adopted within one (1) 41 year after the publication of the notice of intent to adopt a rule the first 42 public comment period under section 23 of this chapter, the agency

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1 shall, before the two hundred fiftieth day following the publication of 2 the notice of intent to adopt a rule the first public comment period 3 under section 23 of this chapter, notify the publisher by electronic 4 means: 5 (1) the reasons why the rule was not adopted and the expected 6 date the rule will be completed; and 7 (2) the expected date the rule will be approved or deemed 8 approved by the governor or withdrawn under section 41 of this 9 chapter. 10 (b) If a rule is not approved before the later of: (1) one (1) year after the agency publishes notice of intent to 11 adopt the rule the first public comment period under section 23 12 13 of this chapter; or 14 (2) the expected date contained in a notice concerning the rule 15 that is provided to the publisher under subsection (a); a later approval or deemed approval is ineffective, and the rule may 16 17 become effective only through another rulemaking action initiated under this chapter. 18 19 SECTION 18. IC 4-22-2-27 IS AMENDED TO READ AS 20 FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 27. The individual or 21 group of individuals who will finally adopt the rule under section 29 of 22 this chapter shall fully consider written comments received by the 23 agency during each comment period and comments received at the 24 public hearing required by section 26 of this chapter and may consider 25 any other information before adopting the rule. Attendance at the public hearing or review of a written record or summary of the public 26 27 hearing is sufficient to constitute full consideration. SECTION 19. IC 4-22-2-27.5 IS ADDED TO THE INDIANA 28 29 CODE AS A NEW SECTION TO READ AS FOLLOWS 30 [EFFECTIVE JULY 1, 2023]: Sec. 27.5. In addition to the information submitted to the attorney general under section 31 of 31 32 this chapter, to the governor under section 33 of this chapter, and 33 to the publisher under section 35 of this chapter, an agency shall 34 submit to the attorney general, the governor, and the publisher a 35 summary of the comments received by the agency during each 36 comment period and public hearing under sections 23, 24, and 26 37 of this chapter or IC 13-14-9 and a summary of the response of the 38 agency to the comments. The publisher shall publish the 39 summaries with the final adopted and approved rule. 40 SECTION 20. IC 4-22-2-28, AS AMENDED BY P.L.237-2017, SECTION 8, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 41 42 JULY 1, 2023]: Sec. 28. (a) The following definitions apply throughout



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1	this section:
2	(1) "Ombudsman" refers to the small business ombudsman
3	designated under IC 5-28-17-6.
4	(2) "Total estimated economic impact" means the direct annual
5	economic impact of a rule on all regulated persons after the rule
6	is fully implemented under subsection (g).
7	(b) The ombudsman:
8	(1) shall review a proposed rule that
9	(A) imposes requirements or costs on small businesses (as
10	defined in IC 4-22-2.1-4); and
11	(B) is referred to the ombudsman by an agency under $10.422215(2)$
12	$\frac{1}{100} \frac{4-22-2.1-5(c)}{100}$; and
13	(2) may review a proposed rule that imposes requirements or
14	costs on businesses other than small businesses (as defined in
15	IC 4-22-2.1-4).
16	After conducting a review under subdivision (1) or (2) , the ombudsman
17	may suggest alternatives to reduce any regulatory burden that the
18	proposed rule imposes on small businesses or other businesses. The
19	agency that intends to adopt the proposed rule shall respond in writing
20	to the ombudsman concerning the ombudsman's comments or
21	suggested alternatives before adopting the proposed rule under section
22	29 of this chapter.
23	(c) Subject to subsection (e) and not later than fifty (50) days
24	before the public hearing for a proposed rule required by section 26 of
25	this chapter, an agency shall submit the proposed rule to the office of
26	management and budget for a review under subsection (d), if the
27	agency proposing the rule determines that the rule will have a total
28	estimated economic impact greater than five hundred thousand dollars
29	(\$500,000) on all regulated persons. In determining the total estimated
30	economic impact under this subsection, the agency shall consider any
31	applicable information submitted by the regulated persons affected by
32	the rule. To assist the office of management and budget in preparing
33	the fiscal impact statement required by subsection (d), the agency shall
34	submit, along with the proposed rule, the data used and assumptions
35	made by the agency in determining the total estimated economic
36	impact of the rule.
37	(d) Except as provided in subsection (e), before the adoption of the
38	rule, and not more than forty-five (45) days after receiving a proposed
39	rule under subsection (c), the office of management and budget shall
40	prepare, using the data and assumptions provided by the agency
41	proposing the rule, along with any other data or information available
42	to the office of management and budget, a fiscal impact statement

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1	concerning the effect that compliance with the proposed rule will have	
2	on:	
3	(1) the state; and	
4	(2) all persons regulated by the proposed rule.	
5	The fiscal impact statement must contain the total estimated economic	
6	impact of the proposed rule and a determination concerning the extent	
7	to which the proposed rule creates an unfunded mandate on a state	
8	agency or political subdivision. The fiscal impact statement is a public	
9	document. The office of management and budget shall make the fiscal	
10	impact statement available to interested parties upon request and to the	
11	agency proposing the rule. The agency proposing the rule shall	
12	consider the fiscal impact statement as part of the rulemaking process	
13	and shall provide the office of management and budget with the	
14	information necessary to prepare the fiscal impact statement, including	
15	any economic impact statement prepared by the agency under	
16	IC 4-22-2.1-5. The office of management and budget may also receive	
17	and consider applicable information from the regulated persons	
18	affected by the rule in preparation of the fiscal impact statement.	
19	(e) With respect to a proposed rule subject to IC 13-14-9:	
20	(1) the department of environmental management shall give	
21	written notice to the office of management and budget of the	
22	proposed date of preliminary adoption of the proposed rule not	
23	less than sixty-six (66) days before that date; and	
24	(2) the office of management and budget shall prepare the fiscal	
25	impact statement referred to in subsection (d) not later than	
26	twenty-one (21) days before the proposed date of preliminary	
27	adoption of the proposed rule.	
28	(f) In determining whether a proposed rule has a total estimated	
29	economic impact greater than five hundred thousand dollars	
30	(\$500,000), the agency proposing the rule shall consider the impact of	
31	the rule on any regulated person that already complies with the	
32	standards imposed by the rule on a voluntary basis.	
33	(g) For purposes of this section, a rule is fully implemented after:	
34	(1) the conclusion of any phase-in period during which:	
35	(A) the rule is gradually made to apply to certain regulated	
36	persons; or	
37	(B) the costs of the rule are gradually implemented; and	
38	(2) the rule applies to all regulated persons that will be affected	
39	by the rule.	
40	In determining the total estimated economic impact of a proposed rule	
41	under this section, the agency proposing the rule shall consider the	
42	annual economic impact on all regulated persons beginning with the	

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first twelve (12) month period after the rule is fully implemented. The 2 agency may use actual or forecasted data and may consider the actual and anticipated effects of inflation and deflation. The agency shall describe any assumptions made and any data used in determining the total estimated economic impact of a rule under this section.

6 (h) An agency shall provide the legislative council in an electronic 7 format under IC 5-14-6 with any analysis, data, and description of 8 assumptions submitted to the office of management and budget under 9 this section or section 40 of this chapter at the same time the agency 10 submits the information to the office of management and budget. The 11 office of management and budget shall provide the legislative council in an electronic format under IC 5-14-6 any fiscal impact statement and 12 13 related supporting documentation prepared by the office of 14 management and budget under this section or section 40 of this chapter 15 at the same time the office of management and budget provides the fiscal impact statement to the agency proposing the rule. Information 16 17 submitted under this subsection must identify the rule to which the 18 information is related by document control number assigned by the 19 publisher. 20

(i) An agency shall provide the legislative council in an electronic format under IC 5-14-6 with any economic impact or fiscal impact statement, including any supporting data, studies, or analysis, prepared for a rule proposed by the agency or subject to readoption by the agency to comply with: (1) a requirement in section 19.5 of this chapter to minimize the

expenses to regulated entities that are required to comply with the rule:

28 (2) a requirement in section 24 of this chapter to publish a 29 justification of any requirement or cost that is imposed on a 30 regulated entity under the rule;

31 (3) a requirement in IC 4-22-2.1-5 to prepare a statement that 32 describes the annual economic impact of a rule on all small 33 businesses after the rule is fully implemented;

(4) a requirement in IC 4-22-2.5-3.1 to conduct a review to 34 consider whether there are any alternative methods of achieving 35 36 the purpose of the rule that are less costly or less intrusive, or 37 that would otherwise minimize the economic impact of the 38 proposed rule on small businesses;

39 (5) a requirement in IC 13-14-9-3 or IC 13-14-9-4 to publish 40 information concerning the fiscal impact of a rule or alternatives 41 to a rule subject to these provisions; or

42 (6) a requirement under any other law to conduct an analysis of

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1	the cost, economic impact, or fiscal impact of a rule;
2	regardless of whether the total estimated economic impact of the
3	proposed rule is more than five hundred thousand dollars (\$500,000),
4	as soon as practicable after the information is prepared. Information
5	submitted under this subsection must identify the rule to which the
6	information is related by document control number assigned by the
7	publisher.
8	SECTION 21. IC 4-22-2-28.1, AS AMENDED BY P.L.237-2017,
9	SECTION 9, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
10	JULY 1, 2023]: Sec. 28.1. (a) This section applies to the following:
11	(1) A rule for which the notice required by section 23 of this
12	chapter or by IC 13-14-9-3 is published by an agency or the
13	board (as defined in IC 13-13-8-1).
14	(2) A rule for which:
15	(A) the notice required by IC 13-14-9-3; or
16	(B) an appropriate later notice for circumstances described
17	in subsection (g);
18	is published by the department of environmental management
19	after June 30, 2006.
20	(b) As used in this section, "coordinator" refers to the small
21	business regulatory coordinator assigned to a rule by an agency under
22	subsection (e).
23	(c) As used in this section, "director" refers to the director or other
24	administrative head of an agency.
25	(d) As used in this section, "small business" has the meaning set
26	forth in IC 5-28-2-6.
27	(e) For each rulemaking action and rule finally adopted as a result
28	of a rulemaking action by an agency under this chapter, the agency
29	shall assign one (1) staff person to serve as the agency's small business
30	regulatory coordinator with respect to the proposed or adopted rule.
31	The agency shall assign a staff person to a rule under this subsection
32	based on the person's knowledge of, or experience with, the subject
33	matter of the rule. A staff person may serve as the coordinator for more
34	than one (1) rule proposed or adopted by the agency if the person is
35	qualified by knowledge or experience with respect to each rule. Subject
36	to subsection (f):
37	(1) in the case of a proposed rule, the notice of intent to adopt
38	the rule the first public comment period published under
39	section 23 of this chapter; or
40	(2) in the case of a rule proposed by the department of
41	environmental management or the board (as defined in
42	IC 13-13-8-1), the notice published under IC 13-14-9-3 or the

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1	findings published under IC 13-14-9-8(b)(1), whichever applies;
2	must include the name, address, telephone number, and electronic mail
3	address of the small business coordinator for the proposed rule, the
4	name, address, telephone number, and electronic mail address of the
5	small business ombudsman designated under IC 5-28-17-6, and a
6	statement of the resources available to regulated entities through the
7	small business ombudsman designated under IC 5-28-17-6. Subject to
8	subsection (f), in the case of a rule finally adopted, the final rule, as
9	published in the Indiana Register, must include the name, address,
10	telephone number, and electronic mail address of the coordinator.
11	(f) This subsection applies to a rule adopted by the department of
12	environmental management or the board (as defined in IC 13-13-8-1)
13	under IC 13-14-9. Subject to subsection (g), the department shall
14	include in the notice provided under IC 13-14-9-3 or in the findings
15	published under IC 13-14-9-8(b)(1), whichever applies, and in the
16	publication of the final rule in the Indiana Register:
17	(1) a statement of the resources available to regulated entities
18	through the technical and compliance assistance program
19	established under IC 13-28-3;
20	(2) the name, address, telephone number, and electronic mail
21	address of the ombudsman designated under IC 13-28-3-2;
22	(3) if applicable, a statement of:
23	(A) the resources available to small businesses through the
24	small business stationary source technical assistance
25	program established under IC 13-28-5; and
26	(B) the name, address, telephone number, and electronic
27	mail address of the ombudsman for small business
28	designated under IC 13-28-5-2(3); and
29	(4) the information required by subsection (e).
30	The coordinator assigned to the rule under subsection (e) shall work
31	with the ombudsman described in subdivision (2) and the office of
32	voluntary compliance established by IC 13-28-1-1 to coordinate the
33	provision of services required under subsection (h) and IC 13-28-3. If
34	applicable, the coordinator assigned to the rule under subsection (e)
35	shall work with the ombudsman referred to in subdivision (3)(B) to
36	coordinate the provision of services required under subsection (h) and
37	IC 13-28-5.
38	(g) If the notice provided under IC 13-14-9-3 is not published as
39	allowed by IC 13-14-9-7, the department of environmental
40	management shall publish in the notice provided under IC 13-14-9-4
41	the information that subsection (f) would otherwise require to be
42	published in the notice under IC 13-14-9-3. If neither the notice under



1 IC 13-14-9-3 nor the notice under IC 13-14-9-4 is published as allowed 2 by IC 13-14-9-8, the department of environmental management shall 3 publish in the commissioner's written findings under IC 13-14-9-8(b) 4 the information that subsection (f) would otherwise require to be 5 published in the notice under IC 13-14-9-3. 6 (h) The coordinator assigned to a rule under subsection (e) shall 7 serve as a liaison between the agency and any small business subject 8 to regulation under the rule. The coordinator shall provide guidance to 9 small businesses affected by the rule on the following: (1) Any requirements imposed by the rule, including any 10 reporting, record keeping, or accounting requirements. 11 (2) How the agency determines or measures compliance with the 12 rule, including any deadlines for action by regulated entities. 13 14 (3) Any penalties, sanctions, or fines imposed for noncompliance with the rule. 15 (4) Any other concerns of small businesses with respect to the 16 rule, including the agency's application or enforcement of the 17 18 rule in particular situations. However, in the case of a rule adopted under IC 13-14-9, the coordinator assigned to the rule 19 20 may refer a small business with concerns about the application or enforcement of the rule in a particular situation to the 21 22 ombudsman designated under IC 13-28-3-2 or, if applicable, 23 under IC 13-28-5-2(3). 24 (i) The coordinator assigned to a rule under subsection (e) shall 25 provide guidance under this section in response to questions and 26 concerns expressed by small businesses affected by the rule. The 27 coordinator may also issue general guidelines or informational pamphlets to assist small businesses in complying with the rule. Any 28 guidelines or informational pamphlets issued under this subsection 29 30 shall be made available: 31 (1) for public inspection and copying at the offices of the agency under IC 5-14-3; and 32 33 (2) electronically through electronic gateway access. (i) The coordinator assigned to a rule under subsection (e) shall 34 keep a record of all comments, questions, and complaints received 35 36 from small businesses with respect to the rule. The coordinator shall deliver the record, along with any accompanying documents submitted 37 38 by small businesses, to the director: 39 (1) not later than ten (10) days after the date on which the rule is 40 submitted to the publisher under section 35 of this chapter; and 41 (2) before July 15 of each year during which the rule remains in 42 effect.



1	The coordinator and the director shall keep confidential any
2	information concerning a small business to the extent that the
3	information is exempt from public disclosure under IC 5-14-3-4.
4	(k) Not later than November 1 of each year, the director shall:
5	(1) compile the records received from all of the agency's
6	coordinators under subsection (j);
7	(2) prepare a report that sets forth:
8	(A) the number of comments, complaints, and questions
9	received by the agency from small businesses during the
10	most recent state fiscal year, categorized by the subject
11	matter of the rules involved;
12	(B) the number of complaints or questions reported under
13	clause (A) that were resolved to the satisfaction of the
14	agency and the small businesses involved;
15	(C) the total number of staff serving as coordinators under
16	this section during the most recent state fiscal year;
17	(D) the agency's costs in complying with this section during
18	the most recent state fiscal year; and
19	(E) the projected budget required by the agency to comply
20	with this section during the current state fiscal year; and
21	(3) deliver the report to the legislative council in an electronic
22	format under IC 5-14-6 and to the small business ombudsman
23	designated under IC 5-28-17-6.
24	SECTION 22. IC 4-22-2-29, AS AMENDED BY P.L.237-2017,
25	SECTION 10, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
26	JULY 1, 2023]: Sec. 29. (a) As used in this section, "small business
27	ombudsman" refers to the small business ombudsman designated under
28	IC 5-28-17-6.
29	(b) After an agency has complied with sections 26, 27, and 22.8
30	through 28 of this chapter, the agency may:
31	(1) adopt a rule that is identical to a proposed rule published in
32	the Indiana Register under section 24 of this chapter;
33	(2) subject to subsection (c), adopt a rule that consolidates part
34	or all of two (2) or more proposed rules published in the Indiana
35	Register under section 24 of this chapter and considered under
36	section 27 of this chapter;
37	(3) subject to subsection (c), adopt part of one (1) or more
38	proposed rules described in subdivision (2) in two (2) or more
39	separate adoption actions; or
40	(4) subject to subsection (c), adopt a revised version of a
41	proposed rule published under section 24 of this chapter and
42	include provisions that did not appear in the published version,

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1 including any provisions recommended by the small business 2 ombudsman under IC 4-22-2.1-6(a), if applicable. 3 (c) An agency may not adopt a rule that substantially differs from 4 the version or versions of the proposed rule or rules published in the 5 Indiana Register under section 24 of this chapter, unless it is a logical 6 outgrowth of any proposed rule as supported by any written comments 7 submitted: 8 (1) during the public comment period; periods; or 9 (2) by the small business ombudsman under IC 4-22-2.1-6(a), if applicable. 10 SECTION 23. IC 4-22-2-31, AS AMENDED BY P.L.123-2006, 11 12 SECTION 9, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 31. After an agency has complied with section 29 13 of this chapter, or with IC 13-14-9-9(1) or IC 13-14-9-9(2), as 14 applicable, the agency shall submit its rule to the attorney general for 15 16 approval. The agency shall submit the following to the attorney 17 general: 18 (1) The rule in the form required by section 20 of this chapter. 19 (2) The documents required by section 21 of this chapter. (3) Written authorization to proceed issued by the publisher 20 under section 24(g) sections 23(d) and 24(f) of this chapter. 21 22 (4) Any other documents specified by the attorney general. 23 The attorney general may require the agency to submit any supporting 24 documentation that the attorney general considers necessary for the 25 attorney general's review under section 32 of this chapter. The agency 26 may submit any additional supporting documentation the agency 27 considers necessary. 28 SECTION 24. IC 4-22-2-37.1, AS AMENDED BY P.L.140-2013, 29 SECTION 1, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 37.1. (a) The following do not apply to a rule 30 adopted under this section: 31 32 (1) Sections 24 23 through 36 27 of this chapter 33 (2) or IC 13-14-9 (as applicable). 34 (2) Sections 28 through 36 of this chapter. The amendments to this section made in the 2023 regular session 35 36 of the general assembly apply to emergency rules that are accepted 37 for filing by the publisher of the Indiana Register after June 30, 38 2023, regardless of whether the adopting agency initiated official 39 action to adopt the emergency rule before July 1, 2023. An action 40 taken before July 1, 2023, in conformity with this section (as effective after June 30, 2023) is validated to the same extent as if 41 42 the action was taken after June 30, 2023.



1 (b) An agency may adopt a rule may be adopted under on a 2 subject for which the agency has rulemaking authority using the 3 procedures in this section if a statute delegating authority to an agency 4 to adopt rules authorizes adoption of such a rule: 5 (1) under this section; or 6 (2) in the manner provided by this section. 7 the governor finds that the agency proposing to adopt the rule has 8 demonstrated to the satisfaction of the governor that use of 9 emergency rulemaking procedures under this section is necessary 10 to avoid: 11 (1) an imminent and substantial peril to public health, safety, 12 or welfare; 13 (2) an imminent and material loss of federal funds for an 14 agency program; 15 (3) an imminent and material deficit; or 16 (4) an imminent and substantial violation of a state or federal law or the terms of a federal agreement or program. 17 18 To obtain a determination from the governor, an agency must 19 submit to the governor the text of the proposed emergency rule, a 20 statement justifying the need for emergency rulemaking procedures, and any additional information required by the 21 22 governor in the form and in the manner required by the governor. A notice of determination by the governor shall include findings 23 24 that explain the basis for the determination. The notice of 25 determination shall be provided to the agency in an electronic format. Approval of a request shall be treated as a determination 26 27 that the rule meets the criteria in this subsection. 28 (c) After an agency adopts a rule under this section, the governor 29 approves emergency rulemaking procedures for a rule, the agency 30 shall submit the rule to the publisher for the assignment of obtain a 31 document control number The agency shall submit the rule in the form 32 required by section 20 of this chapter and with the documents required 33 by section 21 of this chapter. from the publisher. The publisher shall 34 determine the documents and the format of the rule and other 35 documents to that must be submitted under this subsection. to the 36 publisher to obtain a document control number. 37 (d) After the document control number has been assigned and the agency adopts the rule, the agency shall submit the rule following to 38 39 the publisher for filing: 40 (1) The text of the adopted emergency rule. The agency shall submit the emergency rule in the form required by section 20 of 41

42 this chapter. and with



1 (2) A signature page that indicates that the agency has 2 adopted the emergency rule in conformity with all 3 procedures required by law. 4 (3) The approval of the governor to use emergency 5 rulemaking procedures for the rule. 6 (4) The documents required by section 21 of this chapter. 7 The publisher shall determine the format of the emergency rule and 8 other documents to be submitted under this subsection. The 9 substantive text of the adopted emergency rule must be substantially similar to the text of the proposed emergency rule 10 submitted to the governor. An emergency rule may suspend but not 11 12 repeal a rule approved by the governor under section 34 of this 13 chapter. 14 (e) Subject to subsection (d) and section 39 of this chapter, the publisher shall: 15 16 (1) accept the rule for filing; and (2) electronically record the date and time that the rule is 17 18 accepted; and 19 (3) publish the text of the adopted emergency rule and the 20 governor's approval in the Indiana Register. 21 (f) A An emergency rule adopted by an agency under this section 22 takes effect on the latest of the following dates: 23 (1) The effective date of the statute delegating authority to the agency to adopt the emergency rule. 24 25 (2) The date and time that the emergency rule is accepted for 26 filing under subsection (e). 27 (3) The effective date stated by the adopting agency in the 28 emergency rule. 29 (4) The date of compliance with every requirement established 30 by law as a prerequisite to the adoption or effectiveness of the 31 emergency rule. (5) The statutory effective date for an emergency rule set forth 32 33 in the statute authorizing the agency to adopt emergency rules. 34 law. 35 (g) Unless otherwise provided by the statute authorizing adoption 36 of the rule: 37 (1) a rule adopted under this section expires not later than ninety 38 (90) days after the rule is accepted for filing under subsection 39 (e); 40 (2) a rule adopted under this section may be extended by 41 adopting another rule under this section, but only for one (1) 42 extension period; and



1	(3) for a rule adopted under this section to be effective after one
2	(1) extension period, the rule must be adopted under:
3	(A) sections 24 through 36 of this chapter; or
4	(B) IC 13-14-9;
5	as applicable.
6	(g) An agency may amend an emergency rule with another
7	emergency rule by following the procedures in this section for the
8	amending emergency rule. However, unless otherwise provided by
9	IC 4-22-2.3, an emergency rule and all amendments of an
10	emergency rule by another emergency rule expire not later than
11	one hundred eighty (180) days after the initial emergency rule is
12	accepted for filing under subsection (e). The subject of the
13	emergency rule, including all amendments to the emergency rule,
14	may not be subsequently extended under this section or section
15	37.2 of this chapter. If the governor determines that the emergency
16	that is the basis for using the procedures under this section ceases
17	to exist, the governor may terminate the emergency rule before the
18	lapse of one hundred eighty (180) days. The termination is effective
19	when filed with the publisher. The publisher shall publish the
20	termination notice in the Indiana Register.
21	(h) This section may not be used to readopt a rule under
22	IC 4-22-2.5.
23	(i) The publisher of the Indiana administrative code shall annually
24	publish a list of agencies authorized to adopt rules under this section.
25	(h) Subject to subsection (i), the attorney general or the
26	governor may file an objection to an emergency rule that is
27	adopted under this section not later than forty-five (45) days after
28	the date that an emergency rule or amendment to an emergency
29 20	rule is accepted for filing under subsection (e). The objection must
30 31	cite the document control number for the affected emergency rule
31	and state the basis for the objection. When filed with the publisher, the objection has the effect of invalidating the emergency rule or
32	amendment to an emergency rule. The publisher shall publish the
33 34	objection in the Indiana Register.
35	(i) The attorney general may file a written objection to an
36	emergency rule under subsection (h) only if the attorney general
30 37	determines that the emergency rule has been adopted:
38	(1) without statutory authority; or
39	(2) without complying with this section.
40	A notice of objection to an emergency rule by the attorney general
41	must include findings that explain the basis for the determination.
42	The notice of objection shall be provided to the agency in an
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SECTION 25. IC 4-22-2-37.2 IS ADDED TO THE INDIANA CODE AS A **NEW** SECTION TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 37.2. (a) The following do not apply to a rule adopted under this section: (1) Sections 23 through 27 of this chapter or IC 13-14-9 (as applicable).

(2) Sections 28 through 36 of this chapter.

9 The amendments to this section made in the 2023 regular session 10 of the general assembly apply to interim rules that are accepted for filing by the publisher of the Indiana Register after June 30, 2023, 11 12 regardless of whether the adopting agency initiated official action 13 to adopt the interim rule before July 1, 2023. An action taken 14 before July 1, 2023, in conformity with this section (as effective 15 after June 30, 2023) is validated to the same extent as if the action 16 was taken after June 30, 2023.

17 (b) An agency may adopt a rule on a subject for which the 18 agency has rulemaking authority with a single comment period of 19 at least thirty (30) days in length using the procedures in this 20 section if the governor finds that the agency proposing to adopt the 21 rule has demonstrated to the satisfaction of the governor that use 22 of interim rulemaking procedures under this section is necessary 23 to implement:

(1) a new state or federal law or program, rule of another
state agency, federal regulation, or federal grant or loan
agreement, or (if used by the agency to carry out the
agency's responsibilities) a building, an equipment, a
firefighting, a safety, or a professional code adopted by a
nationally recognized organization; or

30(2) a change in a new state or federal law or program, rule of31another state agency, federal regulation, federal grant or32loan agreement, or (if used by the agency to carry out the33agency's responsibilities) a building, an equipment, a34firefighting, a safety, or a professional code adopted by a35nationally recognized organization;

before the time that a final rule approved by the governor under
section 34 of this chapter could reasonably take effect. To obtain a
determination from the governor, an agency must submit to the
governor the text of the proposed interim rule, a statement
justifying the need for interim rulemaking procedures, and any
additional information required by the governor in the form and
in the manner required by the governor. A notice of determination

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by the governor shall include findings that explain the basis for the

2 determination. The notice of determination shall be provided to the 3 agency in an electronic format. Approval of a request shall be 4 treated as a determination that the rule meets the criteria in this 5 subsection. 6 (c) An agency shall notify the public of its intention to adopt an 7 interim rule by complying with the publication requirements in 8 this section. The agency shall cause a notice of a public comment 9 period and the full text of the agency's proposed interim rule 10 (excluding the full text of a matter incorporated by reference under 11 section 21 of this chapter) to be published once in the Indiana 12 Register. The publisher shall review materials submitted under this 13 section and determine the date that the publisher intends to include 14 the material in the Indiana Register. After establishing the 15 intended publication date and receiving the public comment period 16 information from the agency, the publisher shall provide a written 17 or an electronic mail authorization to proceed to the agency. 18 (d) The agency shall include the following in the notice of the 19 public comment period: 20 (1) A general description of the subject matter of the 21 proposed interim rule, including the document control 22 number. 23 (2) A statement justifying any requirement or cost that is: 24 (A) imposed on a regulated entity under the interim 25 rule; and 26 (B) not expressly required by the statute authorizing the 27 agency to adopt rules or any other state or federal law. 28 The statement required under this subdivision must include 29 a reference to any data, studies, or analyses relied upon by 30 the agency in determining that the imposition of the 31 requirement or cost is necessary and where and how a 32 person may inspect and copy or electronically download the

33 data, studies, or analyses.

34 (3) A date that is thirty (30) days after the notice is published 35 in the Indiana Register by which written comments are due 36 and a statement explaining that any person may submit 37 written comments concerning the proposed expedited rule 38 during the public comment period and instructions on when, 39 where, and how the person may submit written comments. 40 However, inadequacy or insufficiency of the subject matter 41 description under subdivision (1) or a statement of justification 42 under subdivision (2) in a notice does not invalidate a rulemaking

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1 action. An agency may continue the comment period by publishing 2 a subsequent notice in the Indiana Register extending the comment 3 period. 4 (e) Before adopting the interim rule, the agency shall prepare 5 a written response to comments received by the agency, including 6 the reasons for rejecting any recommendations made in the 7 comments. 8 (f) After an agency has completed the initial public comment 9 period of at least thirty (30) days in length and complied with 10 subsection (e), the agency may: 11 (1) adopt a rule that is identical to a proposed interim rule 12 published in the Indiana Register under this section; or 13 (2) adopt a revised version of a proposed interim rule 14 published under this section and include provisions that did 15 not appear in the initially published proposed version. 16 An agency may not adopt an interim rule that substantially differs 17 from the version of the proposed interim rule published in the 18 Indiana Register under this section, unless it is a logical outgrowth 19 of any proposed interim rule as supported by any written 20 comments submitted during the public comment period. 21 (g) After the agency adopts the interim rule, the agency shall 22 submit the following to the publisher for filing: 23 (1) The text of the adopted interim rule. The agency shall 24 submit the interim rule in the form required by section 20 of 25 this chapter. 26 (2) A summary of the comments received by the agency 27 during the comment period and the agency's response to the 28 comments. 29 (3) A signature page that indicates that the agency has 30 adopted the interim rule in conformity with all procedures 31 required by law. 32 (4) The approval of the governor to use interim rulemaking 33 procedures for the rule. 34 (5) The documents required by section 21 of this chapter. 35 The publisher shall determine the format of the interim rule and 36 other documents to be submitted under this subsection. An interim 37 rule may suspend but not repeal a rule approved by the governor 38 under section 34 of this chapter. 39 (h) Subject to subsection (g) and section 39 of this chapter, the 40 publisher shall: 41 (1) accept the rule for filing; 42 (2) electronically record the date and time that the rule is



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2 (3) publish the text of the adopted interim rule and the 3 governor's approval in the Indiana Register. 4

(i) An interim rule adopted by an agency under this section takes effect on the latest of the following dates:

6 (1) The effective date of the statute delegating authority to 7 the agency to adopt the interim rule.

8 (2) The date and time that the interim rule is accepted for 9 filing under subsection (h).

10 (3) The effective date stated by the adopting agency in the 11 interim rule.

12 (4) The date of compliance with every requirement 13 established by law as a prerequisite to the adoption or 14 effectiveness of the interim rule.

15 (5) The statutory effective date for an interim rule set forth 16 in law.

17 (j) An agency may amend an interim rule with another interim 18 rule by following the procedures in this section for adoption of an 19 interim rule. An interim rule and all subsequent rules on the same 20 subject adopted under section 37.1 or 37.3 of this chapter or this 21 section expire not later than four hundred twenty-five (425) days 22 after the initial interim rule is accepted for filing under subsection 23 (h). The interim rule, including all subsequent interim rules 24 adopted under section 37.1 or 37.3 of this chapter or this section on 25 the same subject, may not be subsequently extended under section 26 37.1 or 37.3 of this chapter or this section after four hundred 27 twenty-five (425) days.

(k) Subject to subsection (l), the attorney general or the governor may file an objection to an interim rule that is adopted under this section not later than forty-five (45) days after the date that an interim rule or amendment to an interim rule is accepted for filing under subsection (h). The objection must cite the document control number for the affected interim rule and state 34 the basis for the objection. When filed with the publisher, the objection has the effect of invalidating the interim rule or amendment to an interim rule. The publisher shall publish the objection in the Indiana Register.

38 (I) The attorney general may file a written objection to an 39 interim rule under subsection (k) only if the attorney general 40 determines that the interim rule has been adopted:

(1) without statutory authority; or

42 (2) without complying with this section.



A notice of objection to an interim rule by the attorney general must include findings that explain the basis for the determination. The notice of objection shall be provided to the agency in an electronic format.

SECTION 26. IC 4-22-2-37.3 IS ADDED TO THE INDIANA CODE AS A **NEW** SECTION TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 37.3. (a) The following do not apply to a rule adopted under this section:

(1) Sections 23 through 27 of this chapter or IC 13-14-9 (as applicable).

(2) Sections 28 through 36 of this chapter.

12 (b) An agency may adopt a rule described in IC 4-22-2.3 with 13 a single comment period of at least thirty (30) days in length using 14 the procedures in this section if the governor finds that the agency 15 proposing to adopt the rule has demonstrated to the satisfaction of 16 the governor that use of expedited rulemaking procedures under this section is appropriate for a rule described in IC 4-22-2.3. To 17 18 obtain a determination from the governor, an agency must submit 19 to the governor the text of the proposed expedited rule, a statement 20 justifying the need for expedited rulemaking procedures, and any 21 additional information required by the governor in the form and 22 in the manner required by the governor. A notice of determination 23 by the governor shall include findings that explain the basis for the 24 determination. The notice of determination shall be provided to the 25 agency in an electronic format. Approval of a request shall be 26 treated as a determination that the rule meets the criteria in this 27 subsection.

28 (c) An agency shall notify the public of its intention to adopt a 29 rule by complying with the publication requirements in this 30 section. The agency shall cause a notice of a public comment period 31 and the full text of the agency's proposed expedited rule (excluding 32 the full text of a matter incorporated by reference under section 21 33 of this chapter) to be published once in the Indiana Register. The 34 publisher shall review materials submitted under this section and 35 determine the date that the publisher intends to include the 36 material in the Indiana Register. After establishing the intended 37 publication date and receiving the public comment period 38 information from the agency, the publisher shall provide a written 39 or an electronic mail authorization to proceed to the agency. 40

(d) The agency shall include the following in the notice of the public comment period:

(1) A general description of the subject matter of the

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1 proposed expedited rule, including the document control 2 number. 3 (2) A statement justifying any requirement or cost that is: 4 (A) imposed on a regulated entity under the expedited 5 rule; and 6 (B) not expressly required by the statute authorizing the 7 agency to adopt rules or any other state or federal law. 8 The statement required under this subdivision must include 9 a reference to any data, studies, or analyses relied upon by 10 the agency in determining that the imposition of the requirement or cost is necessary and where and how a 11 12 person may inspect and copy or electronically download the 13 data, studies, or analysis. 14 (3) A date that is thirty (30) days after the notice is published 15 in the Indiana Register by which written comments are due 16 and a statement explaining that any person may submit 17 written comments concerning the proposed expedited rule 18 during the public comment period and instructions on when, 19 where, and how the person may submit written comments. 20 However, inadequacy or insufficiency of the subject matter 21 description under subdivision (1) or a statement of justification 22 under subdivision (2) in a notice does not invalidate a rulemaking 23 action. An agency may continue the comment period by publishing 24 a subsequent notice in the Indiana Register extending the comment 25 period. 26 (e) Before adopting the expedited rule, the agency shall 27 prepare a written response to comments received by the agency, 28 including the reasons for rejecting any recommendations made in the comments. 29 30 (f) After an agency has completed the comment period under 31 this section and complied with subsection (e), the agency may: 32 (1) adopt a rule that is identical to a proposed expedited rule 33 published in the Indiana Register under this section; or 34 (2) adopt a revised version of a proposed expedited rule 35 published under this section and include provisions that did 36 not appear in the published version. 37 An agency may not adopt an expedited rule that substantially 38 differs from the version of the proposed expedited rule published 39 in the Indiana Register under this section, unless it is a logical 40 outgrowth of any proposed expedited rule as supported by any 41 written comments submitted during the public comment period. 42 (g) After the agency adopts the expedited rule, the agency shall



1	submit the following to the publisher for filing:
2	(1) The text of the adopted expedited rule. The agency shall
3	submit the expedited rule in the form required by section 20
4	of this chapter.
5	(2) A summary of the comments received by the agency
6	during the comment period and the agency's response to the
7	comments.
8	(3) A signature page that indicates that the agency has
9	adopted the expedited rule in conformity with all procedures
10	required by law.
11	(4) The approval of the governor to use expedited
12	rulemaking procedures for the rule.
13	(5) The documents required by section 21 of this chapter.
14	The publisher shall determine the format of the expedited rule and
15	other documents to be submitted under this subsection. The
16	substantive text of the adopted expedited rule must be substantially
17	similar to the text of the proposed expedited rule submitted to the
18	governor. An expedited rule may suspend but not repeal a rule
19	approved by the governor under section 34 of this chapter.
20	(h) Subject to subsection (g) and section 39 of this chapter, the
21	publisher shall:
22	(1) accept the expedited rule for filing;
23	(2) electronically record the date and time that the expedited
24	rule is accepted; and
25	(3) publish the text of the adopted expedited rule and the
26	governor's approval in the Indiana Register.
27	(i) An expedited rule adopted by an agency under this section
28	takes effect on the latest of the following dates:
29	(1) The effective date of the statute delegating authority to
30	the agency to adopt the expedited rule.
31	(2) The date and time that the expedited rule is accepted for
32	filing under subsection (h).
33	(3) The effective date stated by the adopting agency in the
34	expedited rule.
35	(4) The date of compliance with every requirement
36	established by law as a prerequisite to the adoption or
37	effectiveness of the expedited rule.
38	(5) The statutory effective date for an expedited rule set forth
39	in law.
40	(j) An expedited rule that has been accepted for filing under
41	subsection (h) expires as provided in IC 4-22-2.3. An agency may
42	continue an expedited rule for an additional period after it would

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1 otherwise expire only as permitted in IC 4-22-2.3. 2 (k) Subject to subsection (l), the attorney general or the 3 governor may file an objection to a rule that is adopted under this 4 section not later than forty-five (45) days after the date and time 5 that an expedited rule or amendment to an expedited rule is 6 accepted for filing under subsection (h). The objection must cite the 7 document control number for the affected expedited rule and state 8 the basis for the objection. When filed with the publisher, the 9 objection has the effect of invalidating the expedited rule or 10 amendment to an expedited rule. The publisher shall publish the 11 objection in the Indiana Register. 12 (I) The attorney general may file a written objection to an 13 expedited rule under subsection (k) only if the attorney general 14 determines that the expedited rule has been adopted: 15 (1) without statutory authority; or (2) without complying with this section. 16 17 A notice of objection to an expedited rule by the attorney general 18 must include findings that explain the basis for the determination. 19 The notice of objection shall be provided to the agency in an 20 electronic format. 21 SECTION 27. IC 4-22-2-38, AS AMENDED BY P.L.123-2006, 22 SECTION 13, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 23 JULY 1, 2023]: Sec. 38. (a) This section applies to a rulemaking action 24 resulting in any of the following rules: 25 (1) A rule that brings another rule into conformity with section 26 20 of this chapter. (2) A rule that amends another rule to replace an inaccurate 27 28 reference to a statute, rule, regulation, other text, governmental 29 entity, or location with an accurate reference, when the 30 inaccuracy is the result of the rearrangement of a federal or state statute, rule, or regulation under a different citation number, a 31 federal or state transfer of functions from one (1) governmental 32 entity to another, a change in the name of a federal or state 33 34 governmental entity, or a change in the address of an entity. 35 (3) A rule correcting any other typographical, clerical, or 36 spelling error in another rule. 37 (b) Sections 24 through 37.1 **37.3** of this chapter do not apply to 38 rules described in subsection (a). 39 (c) Notwithstanding any other statute, an agency may adopt a rule 40 described by subsection (a) without complying with any statutory 41 notice, hearing, adoption, or approval requirement. In addition, the 42 governor may adopt a rule described in subsection (a) for an agency



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(d) A rule described in subsection (a) shall be submitted to the publisher for the assignment of a document control number. The agency (or the governor, for the agency) shall submit the rule in the form required by section 20 of this chapter and with the documents required by section 21 of this chapter. The publisher shall determine the number of copies of the rule and other documents to be submitted under this subsection.

9 (e) After a document control number is assigned, the agency (or the governor, for the agency) shall submit the rule to the publisher for 10 filing. The agency (or the governor, for the agency) shall submit the 11 12 rule in the form required by section 20 of this chapter and with the documents required by section 21 of this chapter. The publisher shall 13 14 determine the format of the rule and other documents to be submitted under this subsection. 15

(f) Subject to section 39 of this chapter, the publisher shall:

(1) accept the rule for filing; and

(2) electronically record the date and time that it is accepted.

(g) Subject to subsection (h), a rule described in subsection (a) takes effect on the latest of the following dates:

(1) The date that the rule being corrected by a rule adopted under 21 22 this section becomes effective.

(2) The date that is forty-five (45) days from the date and time that the rule adopted under this section is accepted for filing under subsection (f).

26 (h) The governor or the attorney general may file an objection to 27 a rule that is adopted under this section before the date that is forty-five 28 (45) days from the date and time that the rule is accepted for filing 29 under subsection (f). When filed with the publisher, the objection has 30 the effect of invalidating the rule.

31 SECTION 28. IC 4-22-2-39, AS AMENDED BY P.L.123-2006, SECTION 14, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 32 33 JULY 1, 2023]: Sec. 39. (a) When an agency submits a rule for filing 34 under section 35, 37.1, 37.2, 37.3, or 38 of this chapter, the publisher may accept the rule for filing only if the following conditions are met: 35 36 (1) The following documents are submitted to allow the publisher to comply with IC 4-22-7-5: 37

- (A) One (1) electronic copy of the rule.
- 39 (B) One (1) copy of any matters incorporated by reference 40 under section 21 of this chapter in the format specified by 41 the publisher. 42
 - (C) One (1) copy of any supporting documentation



1	submitted under section 31 of this chapter in the format
2	specified by the publisher.
3	(2) Each submitted copy includes a reference to the document
4	control number assigned to the rule by the publisher.
5	(3) Each submitted copy indicates that the agency has conducted
6	its rulemaking action in conformity with all procedures required
7	by law. However, if section 31 of this chapter applies to the rule,
8	the publisher shall rely on the approval of the attorney general as
9	the basis for determining that the agency has complied with all
10	procedures required before the date of the approval.
11	(b) If a rule includes a statement that the rule is not effective until:
12	(1) an agency has complied with requirements established by the
13	federal or state government;
14	(2) a specific period of time has elapsed; or
15	(3) a date has occurred;
16	the agency has complied with subsection $(a)(3)$ even if the described
17	event or time has not occurred before the publisher reviews the rule
18	under this section.
19	(c) The publisher shall take no more than three (3) business days
20	to complete the review of a rule under this section.
21	SECTION 29. IC 4-22-2-40, AS AMENDED BY P.L.53-2014,
22	SECTION 60, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
23	JULY 1, 2023]: Sec. 40. (a) At any time before a rule is accepted for
24	filing by the publisher under section 35, 37.1, 37.2 , 37.3 , or 38 of this
25	chapter, the agency that adopted the rule may recall it. A rule may be
26	recalled regardless of whether:
27	(1) the rule has been disapproved by the attorney general under
28	section 32 of this chapter; or
29	(2) the rule has been disapproved by the governor under section
30	34 of this chapter.
31	(b) Sections 24 through 38 of this chapter do not apply to a recall
32	action under this section. However, the agency shall distribute a notice
33	of its recall action to the publisher for publication in the Indiana
34	Register. Sections 24 and 26 of this chapter do not apply to a
35	readoption action under subsection (c).
36	(c) After an agency recalls a rule, the agency may reconsider its
37	adoption action and adopt an identical rule or a revised rule. However,
38	if sections 24 through 36 of this chapter apply to the recalled rule, the
39	readopted rule must comply with the requirements under section 29 of
40	this chapter.
41	(d) The recall of a rule under this section voids any approval given
42	after the rule was adopted and before the rule was recalled.

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1 (e) If a rule is:

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(1) subject to sections 31 and 33 of this chapter;

(2) recalled under subsection (a); and

(3) readopted under subsection (c);

5 the agency shall resubmit the readopted version of the recalled rule to the attorney general and the governor for approval. The attorney 6 7 general and the governor have the full statutory period to approve or 8 disapprove the readopted rule. If the recalled rule was submitted to the 9 office of management and budget under section 28 of this chapter, The agency shall resubmit the readopted version of a recalled rule to the 10 office of management and budget with sufficient information for the 11 12 office of management and budget to evaluate whether its the initial fiscal impact statement regulatory analysis submitted to the office 13 14 of management and budget under section 28 22.8 of this chapter 15 needs to be revised. The office of management and budget shall revise a fiscal impact statement under section 28 of this chapter If the fiscal 16 impact of the readopted rule is substantially different from the recalled 17 rule, the agency shall submit the revised regulatory analysis to the 18 publisher for publication in the Indiana Register with the 19 20 document control number assigned by the publisher to the rule. 21 The agency also shall comply with any other applicable approval 22 requirement provided by statute.

(f) The readopted version of a recalled rule is effective only after 24 the agency has complied with section 35, 37.1, 37.2, 37.3, or 38 of this chapter.

SECTION 30. IC 4-22-2-41, AS AMENDED BY P.L.123-2006, SECTION 16, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 41. (a) At any time before a rule is accepted by the publisher for filing under section 35, 37.1, 37.2, 37.3, or 38 of this chapter, the agency that adopted the rule may withdraw it.

(b) Sections 24 through 40 of this chapter do not apply to a withdrawal action. However, the withdrawing agency shall distribute a notice of the withdrawal to the publisher for publication in the Indiana Register.

(c) The withdrawal of a rule under this section terminates the rulemaking action, and the withdrawn rule may become effective only through another rulemaking action initiated under this chapter.

SECTION 31. IC 4-22-2.1-1, AS AMENDED BY P.L.139-2007, 38 39 SECTION 1, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 40 JULY 1, 2023]: Sec. 1. Except for a rule that is the subject of a rulemaking action under IC 13-14-9, IC 22-12, IC 22-13, IC 22-14, or 41 42 IC 22-15, this chapter applies to a rule for which the notice of the first



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1	public comment period required by IC 4-22-2-23 is published by an
2	agency after June 30, 2005.
3	SECTION 32. IC 4-22-2.1-5, AS AMENDED BY P.L.109-2015,
4	SECTION 16, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
5	JULY 1, 2023]: Sec. 5. (a) If an agency intends to adopt a rule under
6	IC 4-22-2 that will impose requirements or costs on small businesses,
7	the agency shall prepare a statement that describes the annual
8	economic impact of a rule on all small businesses after the rule is fully
9	implemented. as described in subsection (b). The statement required by
10	this section must include the following:
11	(1) An estimate of the number of small businesses, classified by
12	industry sector, that will be subject to the proposed rule.
13	(2) An estimate of the average annual reporting, record keeping,
14	and other administrative costs that small businesses will incur to
15	comply with the proposed rule.
16	(3) An estimate of the total annual economic impact that
17	compliance with the proposed rule will have on all small
18	businesses subject to the rule. The agency is not required to
19	submit the proposed rule to the office of management and budget
20	for a fiscal analysis under IC 4-22-2-28 unless the estimated
21	economic impact of the rule is greater than five hundred
22 23	thousand dollars (\$500,000) on all regulated entities, as set forth in IC 4-22-2-28.
23 24	(4) A statement justifying any requirement or cost that is:
24 25	(4) A statement justifying any requirement of cost that is. (A) imposed on small businesses by the rule; and
23 26	(B) not expressly required by:
20 27	(i) the statute authorizing the agency to adopt the rule;
28	or
29	(ii) any other state or federal law.
30	The statement required by this subdivision must include a
31	reference to any data, studies, or analyses relied upon by the
32	agency in determining that the imposition of the requirement or
33	cost is necessary.
34	(5) A regulatory flexibility analysis that considers any less
35	intrusive or less costly alternative methods of achieving the
36	purpose of the proposed rule. The analysis under this subdivision
37	must consider the following methods of minimizing the
38	economic impact of the proposed rule on small businesses:
39	(A) The establishment of less stringent compliance or
40	reporting requirements for small businesses.
41	(B) The establishment of less stringent schedules or
42	deadlines for compliance or reporting requirements for



1	small businesses.
2	(C) The consolidation or simplification of compliance or
3	reporting requirements for small businesses.
4	(D) The establishment of performance standards for small
5	businesses instead of design or operational standards
6	imposed on other regulated entities by the rule.
7	(E) The exemption of small businesses from part or all of
8	the requirements or costs imposed by the rule.
9	If the agency has made a preliminary determination not to
10	implement one (1) or more of the alternative methods
11	considered, the agency shall include a statement explaining the
12	agency's reasons for the determination, including a reference to
13	any data, studies, or analyses relied upon by the agency in
14	making the determination.
15	(b) For purposes of subsection (a), a proposed rule will be fully
16	implemented with respect to small businesses after:
17	(1) the conclusion of any phase-in period during which:
18	(A) the rule is gradually made to apply to small businesses
19	or certain types of small businesses; or
20	(B) the costs of the rule are gradually implemented; and
21	(2) the rule applies to all small businesses that will be affected
22	by the rule.
23	In determining the total annual economic impact of the rule under
24	subsection (a)(3), the agency shall consider the annual economic
25	impact on all small businesses beginning with the first twelve (12)
26	month period after the rule is fully implemented. The agency may use
27	actual or forecasted data and may consider the actual and anticipated
28	effects of inflation and deflation. The agency shall describe any
29	assumptions made and any data used in determining the total annual
30	economic impact of a rule under subsection (a)(3).
31	(c) The agency shall:
32	(1) publish the statement required under subsection (a) in the
33	Indiana Register as required by IC 4-22-2-24; and
34	(2) deliver a copy of the statement, along with the proposed rule,
35	to the small business ombudsman not later than the date of
36	publication under subdivision (1).
37	SECTION 33. IC 4-22-2.1-7, AS ADDED BY P.L.188-2005,
38	SECTION 4, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
39	JULY 1, 2023]: Sec. 7. Before an agency may act under IC 4-22-2.5
40	IC 4-22-2.6 to readopt a rule to which the chapter applies, the agency
41	must conduct the review required under IC 4-22-2.5-3.1.
42	IC 4-22-2.6-4.

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1	SECTION 34. IC 4-22-2.3 IS ADDED TO THE INDIANA CODE
2	AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE
3	JULY 1, 2023]:
4	Chapter 2.3. Transitional Provisions; Exceptions to
5	Rulemaking Procedures
6	Sec. 1. (a) This subsection and subsection (b) set an expiration
7	date for rules adopted under IC 4-22-2-37.1 (as effective before
8	July 1, 2023) or IC 4-22-2-37 (before its repeal) that at the time of
9	adoption were permitted by law to continue in effect for an
10	indefinite period of time. The rules to which this subsection applies
11	include rules that were permitted to continue until another
12	emergency rule or a final rule was adopted to replace the
13	emergency rule or the agency repealed the emergency rule. Subject
14	to subsections (b) and (c), the rule expires not later than:
15	(1) October 1, 2023; or
16	(2) if the rule is included on a list described in subsection (d),
17	October 1, 2024;
18	as applicable. An emergency rule that expires under this subsection
19	may not be renewed under IC 4-22-2-37.1 (as effective after June
20	30, 2023). If the rule meets the criteria in IC 4-22-2-37.2 for
21	adoption as an interim rule, the rule may be readopted under
22	IC 4-22-2-37.2.
23	(b) The text of an emergency rule adopted under
24	IC 4-22-2-37.1 (as effective before July 1, 2023) or IC 4-22-2-37
25	(before its repeal) that is:
26	(1) incorporated into a provision of the Indiana
27	Administrative Code that before July 1, 2023, was amended
28	under the procedures in IC 4-22-2-23 through IC 4-22-2-36
29 20	or IC 13-14-9 (as applicable); or
30	(2) readopted as part of a provision of the Indiana
31 32	Administrative Code that was readopted under IC 4-22-2.5 (before its reneal) or IC 12 14 0 5 (before its reneal):
32 33	(before its repeal) or IC 13-14-9.5 (before its repeal);
	continues in effect to the extent that the text remains part of the
34 35	provision of the Indiana Administrative Code into which the
	emergency rule text was incorporated.
36	(c) An emergency rule adopted under IC 4-22-2-37.1 (as
37 38	effective before July 1, 2023) of the type described in sections 3 through 0 of this abapter, expires as provided in the applicable
38 39	through 9 of this chapter, expires as provided in the applicable provisions of sections 3 through 9 of this chapter.
39 40	(d) Not later than September 1, 2023, the governor may submit
40 41	to the publisher a list of rules described in subsection (a) for which
41 42	the expiration under this section is October 1, 2024, instead of
72	the expiration under this section is October 1, 2024, instead of

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October 1, 2023. The publisher shall publish a list submitted under this subsection in the Indiana Register.

Sec. 2. Before an emergency rule adopted under IC 4-22-2-37.1 (as effective after June 30, 2023) expires, the governor by executive order may authorize the extension of the emergency rule under the expedited procedures in IC 4-22-2-37.3 if the governor determines and finds in the executive order that the emergency circumstances justifying the emergency rule continue to exist. A rule adopted under the authority of an extension under this section, expires not later than June 30 of the year following the year in which the rule is accepted for filing by the publisher of the Indiana Register.

Sec. 3. The office of the secretary of family and social services
may adopt rules under IC 4-22-2-37.3 to implement IC 12-13-16-13
(211 dialing code services). The rule expires not later than one (1)
year after the adopted rule is accepted for filing under
IC 4-22-2-37.3. An expedited rule described in this section may not
be continued in another expedited rule after the expiration of the
initial expedited rule.

19 Sec. 4. The department of natural resources (or to the extent 20 permitted by IC 14-10-2, the natural resources commission) may 21 adopt rules under IC 4-22-2-37.3 to carry out the duties of the 22 department of natural resources under a law listed in IC 14-10-2-5. 23 The rule expires not later than one (1) year after the adopted rule 24 is accepted for filing by the publisher of the Indiana Register. An 25 expedited rule described in this section may be continued in 26 another expedited rule only if the governor determines under 27 IC 4-22-2-37.3(b) that the policy options available to the agency are 28 so limited that use of the additional notice, comment, and review 29 procedures in IC 4-22-2-23 through IC 4-22-2-36 would provide no 30 benefit to persons regulated or otherwise affected by the rule. A 31 person who violates the rule commits a Class C infraction, unless 32 otherwise specified under state law.

33 Sec. 5. The director of the department of natural resources 34 may temporarily modify or suspend a rule described in 35 IC 14-22-2-6 (fish and wildlife rules) under the procedures in 36 IC 4-22-2-37.3. The adopted rule expires not later than one (1) year 37 after the rule is accepted for filing by the publisher of the Indiana 38 Register. An expedited rule described in this section may not be 39 continued in another expedited rule after the expiration of the 40 initial expedited rule.

41Sec. 6. The Indiana education employment relations board42may adopt rules under IC 4-22-2-37.3 to implement IC 20-29-6-6.1

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1 (review of collective bargaining agreement). The rule expires not 2 later than one (1) year after the adopted rule is accepted for filing 3 by the publisher of the Indiana Register. An expedited rule 4 described in this section may not be continued in another expedited 5 rule after the expiration of the initial expedited rule. 6 Sec. 7. The Indiana state board of education may adopt rules 7 under IC 4-22-2-37.3 for the provision of special education or 8 related services to an eligible choice scholarship student who 9 receives an amount under IC 20-51-4-4(a)(2). The rule expires not 10 later than one (1) year after the adopted rule is accepted for filing 11 by the publisher of the Indiana Register. 12 Sec. 8. (a) The department of financial institutions shall adopt 13 rules under IC 4-22-2-37.3 announcing: 14 (1) sixty (60) days before January 1 of each odd-numbered 15 year in which dollar amounts under IC 24-4.5 (Uniform 16 Consumer Credit Code) are to change, the changes in dollar 17 amounts required by IC 24-4.5-1-106(2); and 18 (2) promptly after the changes occur, changes in the Index 19 required by IC 24-4.5-1-106(3), including, when applicable, 20 the numerical equivalent of the Reference Base Index under 21 a revised Reference Base Index and the designation or title 22 of any index superseding the Index. 23 The rule expires not later than January 1 of the next 24 odd-numbered year after the department of financial institutions 25 is required to issue the rule. 26 (b) The department of financial institutions may adopt a rule 27 permitted under IC 24-4.4-1-101 (licensing system for creditors 28 and mortgage loan originators) or IC 24-4.5 (Uniform Consumer 29 Credit Code) under IC 4-22-2-37.3 if the department of financial 30 institutions declares an emergency. The rule expires not later than 31 two (2) years after the adopted rule is accepted for filing by the 32 publisher of the Indiana Register. 33 (c) The department of financial institutions shall adopt rules 34 under IC 4-22-2-37.3 in the same manner provided in subsection 35 (a) for the adjustments required under IC 24-9-2-8 concerning high 36 cost home loans. The rule expires not later than January 1 of the 37 next odd-numbered year after the department of financial 38 institutions is required to issue the rule. 39 (d) The department of financial institutions may adopt rules 40 described in 34-55-10-2 (bankruptcy exemptions; limitations) and 41 IC 34-55-10-2.5 in conformity with the procedures in IC 4-22-2-23 42 through IC 4-22-2-36 or the expedited procedures in



1 IC 4-22-2-37.3. A rule adopted under IC 4-22-2-37.3 expires not 2 later than two (2) years after the adopted rule is accepted for filing 3 by the publisher of the Indiana Register. 4 (e) An expedited rule described in this section may be 5 continued in another expedited rule only if the governor 6 determines under IC 4-22-2-37.3(b) that the policy options 7 available to the agency are so limited that use of the additional 8 notice, comment, and review procedures in IC 4-22-2-23 through 9 IC 4-22-2-36 would provide no benefit to persons regulated or otherwise affected by the rule. 10 11 Sec. 9. The Indiana board of pharmacy may adopt rules under 12 IC 4-22-2-37.3 to declare that a substance is a synthetic drug if the 13 board finds that the substance: 14 (1) has been scheduled or emergency scheduled by the United 15 **States Drug Enforcement Administration;** 16 (2) has been scheduled, emergency scheduled, or 17 criminalized by another state; or 18 (3) has: 19 (A) a high potential for abuse; and 20 (B) no accepted medical use in treatment in the United 21 States or lacks accepted safety for use in treatment 22 under medical supervision. 23 In making a determination, the Indiana board of pharmacy shall 24 consider the factors described in IC 25-26-13-4.1. Notwithstanding 25 IC 4-22-2-37.3, the rule becomes effective when the adopted rule is 26 published in the Indiana Register. The rule expires not later than 27 June 30 of the year following the year in which the rule is accepted 28 for filing by the publisher of the Indiana Register. An expedited 29 rule described in this section may not be continued in another 30 expedited rule after the expiration of the initial expedited rule. SECTION 35. IC 4-22-2.5 IS REPEALED [EFFECTIVE JULY 1, 31 32 2023]. (Expiration and Readoption of Administrative Rules). 33 SECTION 36. IC 4-22-2.6 IS ADDED TO THE INDIANA CODE 34 AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE 35 JULY 1, 2023]: 36 Chapter 2.6. Expiration and Readoption of Administrative 37 **Rules** 38 Sec. 1. (a) Except as provided in this section and section 10 of 39 this chapter, a rule expires January 1 of the fifth year after the 40 year in which the rule takes effect, unless the rule expires or is 41 repealed on an earlier date. Except for an amendment made under 42 IC 4-22-2-38, the expiration date of a rule under this section is



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1	extended each time that a rule amending or readopting an
2	unexpired rule takes effect. The rule, as amended or readopted,
3	expires on January 1 of the fifth year after the year in which the
4	amendment or readoption takes effect.
5	(b) If the latest version of a rule became effective:
6	(1) in calendar year 2017, the rule expires not later than
7	January 1, 2024;
8	(2) in calendar year 2018, the rule expires not later than
9	January 1, 2025;
10	(3) in calendar year 2019, the rule expires not later than
11	January 1, 2026; or
12	(4) in calendar year 2020, the rule expires not later than
13	January 1, 2027.
14	(c) If the latest version of a rule became effective before
15	January 1, 2017, and:
16	(1) the rule was adopted by an agency established under
17	IC 13, the rule expires not later than January 1, 2025;
18	(2) the rule was adopted by an agency established under
19	IC 16, the rule expires not later than January 1, 2026; or
20	(3) the rule was adopted by an agency not described in
21	subdivision (1) or (2), the rule expires not later than January
22	1, 2027.
23	(d) A readoption rulemaking action under IC 4-22-2.5 (before
24	its repeal) or IC 13-14-9.5 (before its repeal) that became effective
25	before July 1, 2023, is validated to the same extent as if the
26	rulemaking action had been conducted under the procedures in
27	this chapter.
28	(e) The determination of whether an administrative rule
29	expires under this chapter shall be applied at the level of an
30	Indiana Administrative Code section.
31	Sec. 2. An agency that has rulemaking authority may readopt
32	a rule in anticipation of a rule's expiration under section 1 of this
33	chapter. To readopt a rule, an agency may readopt the rule either:
34	(1) without changes in conformity with the procedures in
35	sections 3 through 9 of this chapter; or
36	(2) with or without changes in conformity with the
37	procedures in IC 4-22-2-23 through IC 4-22-2-36 (as
38	modified by IC 13-14-9, when applicable).
39	Sec. 3. (a) Except as provided in subsection (b), if an agency
40	intends to readopt a rule, the agency shall, not later than January
41	1 of the fourth year after the year in which the rule takes effect,
42	provide an initial notice of the intended readoption in an electronic

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1 format designated by the publisher to legislators and legislative 2 committees in the manner and on the schedule specified by the 3 legislative council or the personnel subcommittee of the legislative 4 council acting for the legislative council. 5 (b) An agency is not required to provide the initial notice 6 under subsection (a) for a rule described in section 1(b)(1) of this 7 chapter. 8 Sec. 4. (a) To readopt a rule, an agency must conduct a review 9 of the rule to consider the continued need for the rule and whether 10 the rule, if readopted, will do the following: 11 (1) Minimize expenses to: 12 (A) regulated entities that are required to comply with 13 the rule; 14 (B) persons who pay taxes or pay fees for government 15 services affected by the rule; and 16 (C) consumers of products and services of regulated 17 entities affected by the rule. 18 (2) Achieve the regulatory goal in the least restrictive 19 manner. 20 (3) Have benefits that exceed the fiscal and economic costs of 21 the rule. 22 (4) Avoid duplicating and conflicting standards with other 23 federal, state, or local laws, rules, regulations, or ordinances. 24 (5) Be written for ease of comprehension. 25 (6) Have practicable enforcement. 26 (b) In the review, the agency shall reexamine previous cost 27 benefit, economic impact, fiscal impact, and regulatory burden 28 statements prepared by the agency for the rule under IC 4-3-22-13, 29 IC 4-3-27-12, IC 4-22-2-28, IC 4-22-2.1-5, or an executive order 30 and revise the statements to reflect any change in circumstances 31 that affect the analysis. The agency shall identify any alternative 32 methods of achieving the purpose of the rule that are less costly or 33 less intrusive, or that would otherwise minimize the economic 34 impact of the proposed rule on small businesses (as defined in 35 IC 4-22-2.1-4) and other regulated entities. The agency also shall 36 consider the following: 37 (1) The nature of any complaints or comments received from 38 the public, including small businesses (as defined in 39 IC 4-22-2.1-4), concerning the rule or the rule's 40 implementation by the agency. 41 (2) The complexity of the rule, including any difficulties 42 encountered by:



1	(A) the agency in administering the rule; or
2	(B) small businesses (as defined in IC 4-22-2.1-4) or
3	other regulated persons in complying with the rule.
4	(3) The degree to which technology, economic conditions, or
5	other factors have changed in the area affected by the rule
6	since the last time the rule was reviewed.
7	(c) The agency shall prepare written findings concerning the
8	agency's determinations under this section.
9	Sec. 5. (a) If an agency elects to readopt a rule under this
10	chapter, the agency shall submit a notice of proposed readoption
11	to the publisher not later than the first regular business day in
12	September of the year preceding the year in which the rule expires
13	under this chapter for publication in the Indiana Register. A
14	separate notice must be published for each board or other person
15	or entity with rulemaking authority.
16	(b) The notice must include the following:
17	(1) A general description of the subject matter of all rules
18	proposed to be readopted.
19	(2) A listing of rules that are proposed to be readopted, listed
20	by their titles and subtitles only.
21	(3) A written comment period of thirty (30) days and
22	instructions on how to submit written comments to the
23	agency.
24	(4) A request for comments on whether specific rules should
25	be reviewed through the regular rulemaking process under
26	IC 4-22-2-23 through IC 4-22-2-36 (as modified by
27	IC 13-14-9, when applicable).
28	(5) A summary of the agency's findings under section 4 of
29	this chapter.
30	(6) Any other information required by the publisher.
31	(c) The agency shall submit the material in the form required
32	by IC 4-22-2-20. The agency need not resubmit the documents
33	required by IC 4-22-2-21 if the publisher received a copy of the
34	documents when the rule was previously adopted or amended. The
35	publisher shall review the material submitted under this section
36	and determine the date that the publisher intends to include the
37	material in the Indiana Register. After:
38	(1) establishing the intended publication date; and
39	(2) receiving the material as required by this section;
40	the publisher shall assign a document control number, provide an
41	electronic mail authorization to proceed to the agency, and publish
42	the material on the intended publication date.

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1	Sec. 6. (a) The agency shall prepare responses to all comments
2 3	received during the comment period.
	(b) The agency, after considering the written comments and
4 5	responses, may do the following:
	(1) Conduct one (1) or more additional comment periods in the manuau provided in section 5 of this charten on one (1) on
6	the manner provided in section 5 of this chapter on one (1) or
7	more rules within the scope of the notice of proposed
8 9	readoption. If a person submits to the agency during the initial comment period under section 5 of this chapter a
9 10	initial comment period under section 5 of this chapter a written request stating a basis for considering a particular
10	
11	rule separately from other rules in the notice of proposed
12	readoption, the agency may not readopt that rule under this chapter. The agency may readopt that rule with or without
13 14	changes only through a rulemaking action initiated under
14	IC 4-22-2-23 through IC 4-22-2-36 (as modified by
15	IC 13-14-9, when applicable).
10	(2) Readopt one (1) or more rules within the scope of the
17	notice of proposed readoption without change.
18	(3) Repeal one (1) or more rules within the scope of the
20	notice of proposed readoption, if the need for the rule no
20	longer exists. The adopting authority may repeal a rule
21	without additional comment periods under section 5 of this
22	chapter.
23 24	Sec. 7. (a) The agency shall immediately submit the
25	rulemaking document containing the readopted rules to the
26	publisher for filing along with documentation demonstrating that
20 27	the agency has readopted the rules. The agency shall submit
28	material in the form required by IC 4-22-2-20. The rulemaking
29	document must make reference to the document control number
30	assigned by the publisher.
31	(b) If the rulemaking document complies with this section, the
32	publisher shall:
33	(1) accept the rule for filing; and
34	(2) electronically record the date and time the rule is
35	accepted.
36	Sec. 8. A readopted rule that has been accepted for filing under
37	section 7 of this chapter takes effect on the latest of the following
38	dates:
39	(1) The date that is thirty (30) days from the date and time
40	that the rule was accepted for filing under section 7 of this
41	chapter.
42	(2) The effective date stated by the agency in the rule.

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(3) The date of compliance with every requirement established by law as a prerequisite to the readoption or Sec. 9. An agency that terminates a rulemaking action to readopt a rule with or without amendments shall submit a notice of withdrawal of the readoption rulemaking action in the manner Sec. 10. If a rule is not readopted and the governor finds that the failure to readopt the rule causes an emergency to exist, the governor may, by executive order issued before the rule's expiration date, postpone the expiration date of the rule until a date that is not later than one (1) year after the date specified in Sec. 11. The publisher shall remove all rules that have expired under this chapter from the Indiana Administrative Code. However, a rule that has expired but is readopted under this chapter (or IC 4-22-2.5 (before its repeal) or IC 13-14-9.5 (before its repeal)) may not be removed from the Indiana Administrative SECTION 37. IC 12-10.5-1-9, AS AMENDED BY P.L.123-2006, SECTION 26, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 9. (a) Before finally adopting a rule under IC 4-22-2 to implement this chapter, the division shall consult with and fully consider any comments submitted by: (1) caretakers providing care for a special needs individual under (2) individuals with special needs receiving care from a (4) consumers and providers of home and community based services under IC 12-10-10 and IC 12-10-11.5; and (5) any other agency, volunteer group, faith based group, or individual that the division considers appropriate; to ensure that the rule complies with the requirements set forth in (b) Rules adopted under this chapter must: (1) include protections for the rights, safety, and welfare of individuals with special needs receiving care from a caretaker under this chapter, including reasonable monitoring and (2) serve distinct populations, including:

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reporting requirements;

(A) the aged;



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effectiveness of the rule.

provided in IC 4-22-2-41.

section 1 of this chapter.

this chapter;

subsection (b).

caretaker under this chapter;

(3) area agencies on aging;

1 (B) persons with developmental disabilities; and 2 (C) persons with physical disabilities; 3 in a manner that recognizes, and appropriately responds to, the 4 particular needs of the population; 5 (3) not create barriers to the availability of home and community based services under IC 12-10-10 and IC 12-10-11.5 by 6 7 imposing costly or unduly burdensome requirements on 8 caretakers or other service providers, including: 9 (A) requirements for proof of financial responsibility; and (B) monitoring, enforcement, reporting, or other 10 administrative requirements; and 11 (4) otherwise comply with IC 12-10-10, IC 12-10-11.5, and this 12 13 chapter. 14 (c) Before submitting a rule adopted under this chapter to the 15 attorney general for final approval under IC 4-22-2-31, the division shall submit to the publisher (as defined in IC 4-22-2-3(f)) for 16 17 publication in the Indiana Register the division's written response under 18 IC 4-22-2-23 to any comments received from the parties described in 19 subsection (a). Submissions to the publisher shall be made in the 20 electronic format specified by the publisher. 21 SECTION 38. IC 12-10.5-2-3, AS AMENDED BY P.L.123-2006, 22 SECTION 27, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 23 JULY 1, 2023]: Sec. 3. (a) Before finally adopting a rule under 24 IC 4-22-2 to implement this chapter, the division shall consult with and 25 fully consider any comments submitted by: 26 (1) continuum of care providers providing care under this 27 chapter; 28 (2) individuals receiving care under this chapter; 29 (3) area agencies on aging; (4) consumers and providers of home and community based 30 services under IC 12-10-10 and IC 12-10-11.5; and 31 (5) any other agency, volunteer group, faith based group, or 32 33 individual that the division considers appropriate; 34 to ensure that the rule complies with the requirements set forth in subsection (b). 35 36 (b) Rules adopted under this chapter must: 37 (1) include protections for the rights, safety, and welfare of individuals receiving care under this chapter; 38 39 (2) serve distinct populations, including: 40 (A) the aged; 41 (B) persons with developmental disabilities; and 42 (C) persons with physical disabilities;



1 in a manner that recognizes, and appropriately responds to, the 2 particular needs of the population; 3 (3) not create barriers to the availability of home and community 4 based services under IC 12-10-10 and IC 12-10-11.5 by 5 imposing costly or unduly burdensome requirements on 6 continuum of care providers or other service providers, 7 including: 8 (A) requirements for proof of financial responsibility; and 9 (B) monitoring, enforcement, reporting, or other administrative requirements; and 10 (4) otherwise comply with IC 12-10-10, IC 12-10-11.5, and this 11 12 chapter. 13 (c) Before submitting a rule adopted under this chapter to the 14 attorney general for final approval under IC 4-22-2-31, the division 15 shall submit to the publisher (as defined in IC 4-22-2-3(f)) for 16 publication in the Indiana Register the division's written response under 17 IC 4-22-2-23 to any comments received from the parties described in 18 subsection (a). Submissions to the publisher shall be made in the 19 electronic format specified by the publisher. 20 SECTION 39. IC 13-14-9-1, AS AMENDED BY P.L.133-2012, SECTION 89, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 21 22 JULY 1, 2023]: Sec. 1. (a) Except as provided in sections 8 and 14 of 23 this chapter, this chapter applies to the following: 24 (1) The board. 25 (2) The underground storage tank financial assurance board 26 established by IC 13-23-11-1. 27 (b) In addition to the requirements of IC 4-22-2 and IC 13-14-8, a board may not adopt a rule except in accordance with this chapter. 28 29 (c) This chapter (as effective January 1, 2023) continues to 30 apply after June 30, 2023, to a rulemaking action that is 31 commenced under this chapter before July 1, 2023. 32 SECTION 40. IC 13-14-9-3, AS AMENDED BY P.L.100-2006, 33 SECTION 8, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 3. (a) Except as provided in subsection (b), The 34 35 department shall provide notice in the Indiana Register of the first 36 public comment period required by section 2 of this chapter. (b) To publish notice of the first public comment period in the 37 38 Indiana Register, the agency must submit the following to the 39 publisher: 40 (1) The full text of the agency's proposed rule (excluding the full text of a matter incorporated by reference under 41 42 IC 4-22-2-21). The agency shall submit the rule in the form



1	required by IC 4-22-2-20 and with the documents required
2	by IC 4-22-2-21.
3	(2) The latest version of the regulatory analysis (including
4	any appendices containing any data, studies, or analysis
5	referenced in the regulatory analysis) submitted to the
6 7	budget agency and the office of management and budget under IC 4-22-2-22.8, (3) The determination of the
8	
8 9	budget agency and the office of management and budget
10	authorizing commencement of
11	the first and second public
12	comment periods on the proposed
12	rule under IC 4-22-2-22.8.
14	(4) The notice required under subsection (c).
15	(c) A notice provided under this section must do the following:
16	(1) Identify the authority under which the proposed rule is to be
17	adopted.
18	(2) Describe the subject matter and the basic purpose of the
19	proposed rule. The description required by this subdivision must:
20	(A) list all alternatives being considered by the department
21	at the time of the notice;
22	(B) state whether each alternative listed under clause (A)
23	creates:
24	(i) a restriction or requirement more stringent than a
25	restriction or requirement imposed under federal law;
26	or
27	(ii) a restriction or requirement in a subject area in
28	which federal law does not impose restrictions or
29	requirements;
30	(C) state the extent to which each alternative listed under
31	clause (A) differs from federal law;
32	(D) include any information known to the department about
33	the potential fiscal impact of each alternative under clause
34	(A) that creates:
35	(i) a restriction or requirement more stringent than a
36	restriction or requirement imposed under federal law;
37	or
38	(ii) a restriction or requirement in a subject area in
39	which federal law does not impose restrictions or
40	requirements; and
41	(E) set forth the basis for each alternative listed under
42	clause (A).

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1 (3) Describe the relevant statutory or regulatory requirements	or
2 restrictions relating to the subject matter of the proposed ru	ule
3 that exist before the adoption of the proposed rule.	
4 (4) Request the submission of alternative ways to achieve t	the
5 purpose of the proposed rule.	
6 (5) Request the submission of comments, including suggestion	ons
7 of specific language for the proposed rule.	
8 (6) Include a detailed statement of the issue to be addressed	by
9 adoption of the proposed rule.	•
10 (7) Include the latest version of the regulatory analy	sis
11 (excluding any appendices containing any data, studies,	
12 analysis referenced in the regulatory analysis) submitted	
13 the budget agency and the office of management and budg	get
14 under IC 4-22-2-22.8 .	
15 (8) Include information concerning where, when, and how	v a
16 person may submit written comments on the proposed ru	le,
17 including contact information concerning the small busine	ess
18 regulatory coordinator required by IC 4-22-2-28.1.	
19 (9) Include information concerning where, when, and how	v a
20 person may inspect and copy any data, studies, or analys	ses
21 referenced in a regulatory analysis under subdivision (7).	•
22 (10) Include information concerning where, when, and he	DW
a person may inspect any documents incorporated	by
24 reference into the proposed rule under IC 4-22-2-21 .	
25 (11) Include an indication that the notice is for the first	
26 two (2) thirty (30) day periods in which the public m	ay
27 comment on the proposed rule.	
28 Inadequacy or insufficiency of the published description	or
29 regulatory analysis does not invalidate a rulemaking action.	
30 (b) (d) This section does not apply to rules adopted und	ler
31 IC 13-18-22-2, IC 13-18-22-3, or IC 13-18-22-4.	_
32 (c) (e) The notice required under subsection (a) shall be publish	
33 electronically in the Indiana Register under procedures established	
34 the publisher. The publisher shall review materials submitted und	
35 this section and determine the date that the publisher intends	
36 publish the text of the proposed rule and the notice in the India	
37 Register. If the submitted material complies with this section, t	
20 nublisher shall establish the intended nublication data areian	
38 publisher shall establish the intended publication date, assign	e a
39 document control number to the proposed rule, and provide	
 document control number to the proposed rule, and provide written or an electronic mail authorization to proceed to t 	he
39 document control number to the proposed rule, and provide	he

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1 (1) The notice of the first comment period. 2 (2) The full text of the agency's proposed rule (excluding the 3 full text of a matter incorporated by reference under 4 IC 4-22-2-21). 5 SECTION 41. IC 13-14-9-4, AS AMENDED BY P.L.218-2016, SECTION 2, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 6 7 JULY 1, 2023]: Sec. 4. (a) The department shall provide notice in the 8 Indiana Register of the second public comment period required by 9 section 2 of this chapter. 10 (b) To publish a notice of the second public comment period in the Indiana Register, the agency must submit the following to the 11 12 publisher: 13 (1) The full text of the agency's proposed rule (excluding the full text of a matter incorporated by reference under 14 15 IC 4-22-2-21). The agency shall submit the rule in the form required by IC 4-22-2-20 and with the documents required 16 17 by IC 4-22-2-21, if these documents have not already been 18 submitted to the publisher. 19 (2) Either a statement indicating that no changes in the 20 regulatory analysis have been made from the version of the 21 regulatory analysis published under section 3 of this chapter 22 or the latest version of the regulatory analysis (including any 23 appendices containing any data, studies, or analysis 24 referenced in the regulatory analysis) submitted to the 25 budget agency and the office of management and budget 26 under IC 4-22-2-22.8, if any changes have been made in the regulatory analysis after submitting the material under 27 28 section 3 of this chapter. 29 (3) The notice required under subsection (c). 30 (c) A notice provided under this section must do the following: (1) Contain the full text of the proposed rule, to the extent 31 32 required under IC 4-22-2-24(c). 33 (2) (1) Contain a summary of the response of the department to 34 written comments submitted under section 3 of this chapter during the first public comment period. 35 (3) (2) Request the submission of comments, including 36 37 suggestions of specific amendments to the language contained in the proposed rule and indicate where, when, and how a 38 39 person may submit written comments on the proposed rule, 40 including contact information concerning the small business 41 regulatory coordinator required by IC 4-22-2-28.1. 42 (4) (3) Contain the full text of the commissioner's written



1	findings under section 7 of this chapter, if applicable. Include a	
2	statement indicating the date, time, and place at which the	
3	public hearing on the proposed rule will be convened.	
4	(5) (4) Identify each element of the proposed rule that imposes	
5	a restriction or requirement on persons to whom the proposed	
6	rule applies that:	
7	(A) is more stringent than a restriction or requirement	
8	imposed under federal law; or	
9	(B) applies in a subject area in which federal law does not	
10	impose a restriction or requirement.	
11	(6) (5) With respect to each element identified under subdivision	
12	(5), (4), identify:	
13	(A) the environmental circumstance or hazard that dictates	
14	the imposition of the proposed restriction or requirement to	
15	protect human health and the environment;	
16	(B) examples in which federal law is inadequate to provide	
17	the protection referred to in clause (A); and	
18	(C) the:	
19	(i) estimated fiscal impact; and	
20	(ii) expected benefits;	
21	based on the extent to which the proposed rule is more	
22	stringent than the restrictions or requirements of federal	
23	law, or on the creation of restrictions or requirements in a	
24	subject area in which federal law does not impose	
25	restrictions or requirements.	
26	(7) (6) For any element of the proposed rule that imposes a	
27	restriction or requirement that is more stringent than a restriction	
28	or requirement imposed under federal law or that applies in a	
29	subject area in which federal law does not impose restrictions or	
30	requirements, describe the availability for public inspection of	
31	all materials relied upon by the department in the development	
32	of the proposed rule, including, if applicable:	
33	(A) health criteria;	
34	(B) analytical methods;	
35	(C) treatment technology;	
36	(D) economic impact data;	
37	(E) environmental assessment data;	
38	(F) analyses of methods to effectively implement the	
39	proposed rule; and	
40	(G) other background data.	
41	(7) Either a statement indicating that no changes in the	
42	regulatory analysis have been made from the version of the	

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IC 2-5-1.1-1.



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regulatory analysis published under section 3 of this chapter

or the latest version of the regulatory analysis (excluding any

appendices containing any data, studies, or analysis

referenced in the regulatory analysis) submitted to the

budget agency and the office of management and budget

under IC 4-22-2-22.8, if any changes have been made in the

regulatory analysis after submitting the material under

(8) Include an explanation of any differences between the

text of the proposed rule published for the first comment period under section 3 of this chapter and the text of the

proposed rule published for the second comment period

(9) Include information concerning where, when, and how a

person may inspect and copy the regulatory analysis and any

(10) Include information concerning where, when, and how

a person may inspect any documents incorporated by

(11) Include an indication that the notice is for the second of

two (2) thirty (30) day periods in which the public may

comment on the proposed rule and that following the second

comment period the agency may adopt a version of the

proposed rule that is the same as or does not substantially

differ from the text of the proposed rule published under this

(1) shall be published electronically in the Indiana Register

(2) if any element of the proposed rule to which the notice

relates imposes a restriction or requirement that is more stringent

than a restriction or requirement imposed under federal law, shall be submitted in an electronic format under IC 5-14-6 to the

executive director of the legislative services agency, who shall

present the notice to the legislative council established by

The publisher shall review materials submitted under this section

and determine the date that the publisher intends to publish the

text of the proposed rule and the notice in the Indiana Register. If

Inadequacy or insufficiency of the subject matter description or

summary of the regulatory analysis in the published notice does

(b) (d) The notice required under subsection (a):

under procedures established by the publisher; and

data, studies, or analyses referenced in subdivision (7).

reference into the proposed rule under IC 4-22-2-21.

section 3 of this chapter.

under this section.

section.

not invalidate a rulemaking action.

the submitted material complies with this section, the publisher shall establish the intended publication date, assign a document control number to the proposed rule, and provide a written or an electronic mail authorization to proceed to the agency. The

3 control number to the proposed rule, and provide a written or an 4 electronic mail authorization to proceed to the agency. The 5 publisher shall publish the following in the Indiana Register on the 6 intended publication date: 7 (1) The notice of the second comment period. 8 (2) The full text of the agency's proposed rule (excluding the 9 full text of a matter incorporated by reference under 10 IC 4-22-2-21). 11 (c) (e) If the notice provided by the department concerning a 12 proposed rule identifies under subsection (a)(5), an element of the 13 proposed rule that imposes a restriction or requirement more stringent 14 than a restriction or requirement imposed under federal law, the 15 proposed rule shall not become effective under this chapter until the 16 adjournment sine die of the regular session of the general assembly that 17 begins after the department provides the notice. (d) (f) Subsections (b)(2) and Subsection (c) (e) do does not 18 19 prohibit or restrict the commissioner, the department, or the board 20 from: 21 (1) adopting emergency rules under IC 4-22-2-37.1; 22 (2) taking emergency action under IC 13-14-10; or 23 (3) temporarily: 24 (A) altering ordinary operating policies or procedures; or 25 (B) implementing new policies or procedures; 26 in response to an emergency situation. 27 SECTION 42. IC 13-14-9-4.2, AS AMENDED BY P.L.123-2006, SECTION 28, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 28 29 JULY 1, 2023]: Sec. 4.2. Not less than fourteen (14) days before the 30 date of preliminary adoption of a proposed rule by a board, the 31 department shall make available to the board the fiscal impact 32 statement latest version of the regulatory analysis prepared by the 33 office of management and budget with respect to for the proposed rule. 34 under IC 4-22-2-28(e). SECTION 43. IC 13-14-9-4.5 IS AMENDED TO READ AS 35 FOLLOWS [EFFECTIVE JULY 1, 2023]: Sec. 4.5. (a) Except for a 36 37 rule 38 (1) that has been preliminarily adopted by a board in a form that 39 is: 40 (A) identical to; or (B) not substantively different from; 41 the proposed rule published in a second notice under section 4 42

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1 of this chapter; or 2 (2) for which the commissioner has made a determination and 3 prepared written findings under section 7 or 8 of this chapter; 4 a board may not adopt a rule under this chapter until the board has 5 conducted a third public comment period that is at least twenty-one 6 (21) thirty (30) days in length. 7 (b) The department shall publish notice of a third public comment 8 period with the 9 (1) text; 10 (2) summary; and 11 (3) fiscal analysis; 12 information that are is required to be published in the Indiana Register 13 under section 5(a)(2) of this chapter. 14 (c) The notice of a third public comment period that must be 15 published in the Indiana Register under subsection (b) must request the submission of comments, including suggestions of specific 16 17 amendments, that concern only the portion of the preliminarily adopted rule that is substantively different from the language contained in the 18 19 proposed rule published in a second notice under section 4 of this 20 chapter. 21 SECTION 44. IC 13-14-9-5, AS AMENDED BY P.L.123-2006, 22 SECTION 29, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE 23 JULY 1, 2023]: Sec. 5. (a) A board may not adopt a rule until all of the 24 following occur: 25 (1) The board holds a board meeting on the proposed rule. (2) The department, after approval of the proposed rule by the 26 27 board under subsection (c), publishes the following information in the Indiana Register as provided in IC 4-22-2-24(c): 28 29 (A) The full text of the proposed rule, including any 30 amendments arising from the comments received before or during the meeting held under subdivision (1). 31 32 (B) A summary of the response of the department to all 33 comments received at the meeting held under subdivision 34 (1). (C) For a proposed rule with an estimated economic impact 35 36 on regulated entities that is greater than five hundred 37 thousand dollars (\$500,000); a copy of the office of 38 management and budget fiscal analysis required under 39 IC 4-22-2-28. required by section 4 of this chapter. 40 However, a notice of a third public comment period 41 under section 4.5 of this chapter must request the 42 submission of comments, including suggestions of



1	specific amendments, that concern only the portion of
2	the preliminarily adopted rule that is substantively
3	different from the language contained in the proposed
4	rule published in a second notice under section 4 of this
5	chapter.
6	(3) The board, after publication of the notice under subdivision
7	(2), holds another board meeting on the proposed rule.
8	(4) If a third public comment period is required under section 4.5
9	of this chapter, the department publishes notice of the third
10	public comment period in the Indiana Register.
11	(b) Board meetings held under subsection (a)(1) and (a)(3) shall
12	be conducted in accordance with IC 4-22-2-26(b) through
13	IC 4-22-2-26(d).
14	(c) At a board meeting held under subsection (a)(1), the board
15	shall determine whether the proposed rule will:
16	(1) proceed to publication under subsection (a)(2);
17	(2) be subject to additional comments under section 3 or 4 of this
18	chapter, considering any written finding made by the
19	commissioner under section 7 or 8 of this chapter; or
20	(3) be reconsidered at a subsequent board meeting in accordance
21	with IC 4-22-2-26(d).
22	SECTION 45. IC 13-14-9-6, AS AMENDED BY P.L.123-2006,
23	SECTION 30, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE
24	JULY 1, 2023]: Sec. 6. In addition to the requirements of section 8 of
25	this chapter, the department shall include the following in the written
26	materials to be considered at the board meetings held under section
27	5(a)(1) and $5(a)(3)$ of this chapter:
28	(1) The full text of the proposed rule, as most recently prepared
29	by the department.
30	(2) The written responses of the department to all comments
31	received:
32	(A) during the immediately preceding comment period for
33	a board meeting held under section $5(a)(1)$ of this chapter;
34	(B) during the immediately preceding board meeting under
35	section $5(a)(1)$ of this chapter for a board meeting held
36	under section $5(a)(3)$ of this chapter if a third public
37	comment period is not required under section 4.5 of this
38	chapter; or
39	(C) during:
40	(i) a third public comment period that address the
41	portion of the preliminarily adopted rule that is
42	substantively different from the language contained in

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1 the proposed rule published in a second notice under 2 section 4 of this chapter; and 3 (ii) the immediately preceding board meeting held 4 under section 5(a)(1) of this chapter; 5 for a board meeting held under section 5(a)(3) of this 6 chapter if a third public comment period is required under 7 section 4.5 of this chapter. 8 (3) The full text of the office of management and budget fiscal 9 latest version of regulatory analysis if a fiscal analysis is required under IC 4-22-2-28. prepared under IC 4-22-2-22.7. 10 SECTION 46. IC 13-14-9-15 IS ADDED TO THE INDIANA 11 CODE AS A NEW SECTION TO READ AS FOLLOWS 12 13 [EFFECTIVE JULY 1, 2023]: Sec. 15. Rules adopted in accordance 14 with this chapter by the department of environmental management or a board that has rulemaking authority under IC 13 expire as 15 provided in IC 4-22-2.6. 16 17 SECTION 47. IC 13-14-9-16 IS ADDED TO THE INDIANA CODE AS A NEW SECTION TO READ AS FOLLOWS 18 19 [EFFECTIVE JULY 1, 2023]: For a rule document subject to this 20 chapter, the one (1) year period established under IC 4-22-2-25 in 21 which to adopt a rule and obtain the approval or deemed approval of the governor commences on the date that the initial comment 22 23 period notice for the rule document is published in the Indiana 24 Register under section 3 of this chapter, (if the section 3 comment 25 period is waived under section 7 of this chapter) section 4 of this 26 chapter, section 8 of this chapter, or section 14 of this chapter (as 27 applicable). If an agency determines that a rule cannot be adopted 28 within one (1) year after the publication of the notice, the agency 29 shall, before two hundred fifty (250) days following the publication 30 of the notice, notify the publisher by electronic means: 31 (1) the reasons why the rule was not adopted and the 32 expected date the rule will be completed; and 33 (2) the expected date the rule will be approved or deemed 34 approved by the governor or withdrawn under IC 4-22-2-41. 35 (b) If a rule is not approved before the later of: 36 (1) one (1) year after the agency publishes the initial notice 37 of intent under this chapter; or 38 (2) the expected date contained in a notice concerning the 39 rule that is provided to the publisher under subsection (a); 40 a later approval or deemed approval is ineffective, and the rule 41 may become effective only through another rulemaking action 42 initiated under this chapter.



1 2 3 4 5	SECTION 48. IC 13-14-9.5 IS REPEALED [EFFECTIVE JULY 1, 2023]. (Expiration and Readoption of Administrative Rules). SECTION 49. IC 13-19-3-3, AS AMENDED BY P.L.120-2022, SECTION 5, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE UPON PASSAGE]: Sec. 3. (a) As used in this section and section 3.1
6 7	of this chapter, "coal combustion residuals" means fly ash, bottom ash, boiler slag, and flue gas desulfurization materials generated from
8	burning coal for the purpose of generating electricity by electric
9	utilities and independent power producers.
10	(b) As used in The following definitions apply throughout this
11	section:
12	(1) "Federal CCR rule" refers to 40 CFR 257, Subpart D, the
13	federal standards for the disposal of coal combustion residuals
14	in landfills and surface impoundments.
15	(2) "Legacy generation resource" means an electric
16	generating facility that is directly or indirectly owned by a
17	corporation that was originally formed for the purpose of
18	providing power to the federal government for use in the
19	nation's defense or in furtherance of national interests. The
20	term includes the Ohio Valley Electric Corporation.
21	(c) The board may shall adopt rules under section $1(a)(1)$ of this
22	chapter concerning coal combustion residuals. The rules adopted
23	under this subsection:
24	(1) that are shall be consistent with the regulations of the United
25	States Environmental Protection Agency concerning standards
26	for the disposal of coal combustion residuals in landfills and
27	surface impoundments, as set forth in the federal CCR rule;
28	(2) shall not impose a restriction or requirement that is more
29	stringent than the corresponding restriction or requirement
30	imposed under the federal CCR rule; and
31	(3) shall not impose a restriction or requirement that is not
32	imposed by the federal CCR rule.
33	(d) The department shall do the following: (1) Establish a state normit program under Section 2201 of the
34 25	(1) Establish a state permit program under Section 2301 of the federal Water Infrastructure Immenuements for the Nation Act
35	federal Water Infrastructure Improvements for the Nation Act $(42 \text{ LLS } G, (045(\text{d})))$ for the implementation in Indiana of the
36 37	(42 U.S.C. 6945(d)) for the implementation in Indiana of the federal CCR rule.
37 38	(2) Submit to the administrator of the United States
38 39	Environmental Protection Agency under 42 U.S.C.
39 40	6945(d)(1)(A) evidence of the state permit program.
40 41	(3) Take other necessary or appropriate actions to obtain
42	approval of the state permit program.
14	approvar of the state permit program.

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1 (e) Not later than May 15, 2021, the department shall notify the 2 United States Environmental Protection Agency of its intention to 3 establish a state permit program described in subsection (d)(1) and to 4 seek approval of the state permit program under 42 U.S.C. 6945(d)(1). 5 (f) Under IC 4-22-2 and IC 13-14-9: 6 (1) the department shall initiate rulemaking for the establishment 7 of the state permit program not more than sixty (60) days after 8 the effective date of the SECTION of Senate Enrolled Act 9 271-2021 amending this section; and 10 (2) the board shall adopt a final rule for the establishment of the state permit program not more than sixteen (16) months after 11 initiation of the rulemaking under subdivision (1). 12 13 (g) The state permit program established under this section must 14 not establish requirements for any surface impoundment of coal 15 combustion residuals unless and until the state permit program is 16 approved by the administrator of the United States Environmental 17 Protection Agency under 42 U.S.C. 6945(d)(1). The authority of the department to establish requirements under the state permit 18 19 program established under this section is the only authority the 20 department has to establish requirements for a surface impoundment of coal combustion residuals located on the grounds 21 22 of a legacy generation resource. 23 (h) The definitions set forth in Section 257.53 of the federal CCR 24 rule, as in effect January 1, 2021, apply throughout subsection (i). 25 (i) The department shall charge the following fees under the state 26 permit program established under this section: 27 (1) An initial one (1) time permit fee of twenty thousand five 28 hundred dollars (\$20,500) for each surface impoundment of coal 29 combustion residuals regulated under the state permit program. 30 (2) An annual fee of twenty thousand five hundred dollars 31 (\$20,500) for each surface impoundment of coal combustion 32 residuals regulated under the state permit program that has not completed closure in accordance with Section 257.102 of the 33 federal CCR rule. The duty to pay the fee established by this 34 subdivision does not apply on an annual basis until three 35 36 hundred sixty-five (365) days after the initial one (1) time permit 37 fee established by subdivision (1) has been assessed. 38 (3) An annual fee of ten thousand dollars (\$10,000) for each 39 surface impoundment of coal combustion residuals regulated 40 under the state permit program that has been closed and for 41 which post-closure care has been initiated and is still required in 42 accordance with Section 257.104 of the federal CCR rule. The

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1	duty to pay the fee established by this subdivision does not apply
2	on an annual basis until three hundred sixty-five (365) days after
3	the initial one (1) time permit fee established by subdivision (1)
4	has been assessed.
5	Fees collected under this subsection shall be deposited in the CCR
6	program fund established by section 3.2 of this chapter.
7	(j) Not later than July 1, 2027, and before the end of each
8	succeeding period of five (5) years, the board shall review the:
9	(1) costs to the department of operating the state permit program
10	established under this section; and
11	(2) revenue from the fees charged under subsection (i);
12	as provided in IC 13-16-1-4. If the board determines that the revenue
13	described in subdivision (2) is inadequate or excessive in relation to the
14	costs described in subdivision (1), the board shall, under IC 13-16-1-2,
15	change the amount of one (1) or more of the fees established under
16	subsection (i).
17	(k) Upon the effective date that the board adopts rules to
18	implement the federal CCR rule and subject to subsection (i), annual
19	fees for CCR landfills that were previously regulated as restricted waste
20	sites shall be deposited in the CCR program fund established by section
21	3.2 of this chapter.
22	SECTION 50. IC 25-1-5.3 IS ADDED TO THE INDIANA CODE
23	AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE
24	JULY 1, 2023]:
25	Chapter 5.3. Failure to Enact Licensure Rules
26	Sec. 1. The following definitions apply throughout this
27	chapter:
28	(1) "Agency" has the meaning set forth in IC 25-1-5-2.
29	(2) "Board" has the meaning set forth in IC 25-1-5-2.
30	(3) "Compliant", with respect to a licensure rule, means a
31	licensure rule that the agency or a board has adopted.
32	(4) "Enactment date" means the date on which a statute
33	requires rulemaking for a licensure rule to commence.
34	(5) "Executive director" refers to the individual described in
35	IC 25-1-5-5.
36	(6) "Licensee" has the meaning set forth in IC 25-1-5-11.
37	(7) "Licensure rule" means a rule that:
38	(A) relates to the issuance of a license, certificate,
39 40	registration, or permit, or a requirement or prerequisite
40	for obtaining a license, or keeping a license in good
41	standing; and
42	(B) is required by statute to be adopted by the agency or



2 (8) "Noncompliant", with respect to a licensure rule, means 3 a licensure rule that the agency or a board has not adopted 4 within eighteen (18) months of the enactment date. 5 Sec. 2. (a) If a licensee believes that the agency or a board has 6 failed to adopt a licensure rule within eighteen (18) months of the 7 enactment date, the licensee may request in writing that the 8 executive director determine that the licensure rule is 9 noncompliant. The executive director shall issue the determination 10 of noncompliance or compliance in writing. 11 (b) If the executive director determines that the licensure rule 12 is noncompliant, the licensee is entitled to the relief described in 13 section 3 of this chapter. 14 (c) If: 15 (1) the executive director determines that the licensure rule 16 is compliant; or 17 (2) at least thirty (30) days have passed since the licensee 18 requested the executive director to confirm that the licensure 19 rule is noncompliant and the executive director has not 20 issued a determination; 21 the licensee may request that the governor or the attorney general 22 determine that the licensure rule is a noncompliant. A licensee may 23 not request that both the governor and the attorney general make 24 a determination under this subsection. 25 (d) If the governor or the attorney general determines that the 26 licensure rule is noncompliant, the licensee is entitled to the relief 27 described in section 3 of this chapter. 28 Sec. 3. (a) If the executive director, governor, or attorney 29 general determines that a licensure rule is noncompliant, the 30 licensee: 31 (1) is not required to pay the license fee to which the 32 licensure rule relates from the enactment date to the date the 33 licensure rule becomes compliant (if applicable); and 34 (2) is entitled to a refund of any license fee to which the 35 licensure rule relates from the enactment date to the date the 36 licensure rule becomes compliant (if applicable). 37 (b) The failure to pay a license fee as authorized under this 38 section does not affect the validity of the license. 39 Sec. 4. (a) If the executive director has determined under 40 section 2 of this chapter that a licensure rule is noncompliant, and 41 the agency later adopts a licensure rule, the executive director may,

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upon the request of any person, including the executive director,

a board.

make a new determination concerning the licensure rule. The executive director shall issue the determination in writing.

(b) If the executive director determines that the licensure rule is compliant, a licensee who disagrees with the determination may request, not later than thirty (30) days after issuance of the new determination, that the governor or attorney general review the determination. The licensee may not request that both the governor and the attorney general review the determination. If the governor or attorney general determines that the licensure rule is noncompliant, the determination of the governor or attorney general controls.

12 Sec. 5. If the governor or attorney general determined that a 13 licensure rule was noncompliant under section 2 of this chapter, 14 and the agency later adopts a licensure rule, upon the request of 15 any person, the governor or attorney general may make a new 16 determination concerning the licensure rule. The governor or 17 attorney general shall issue the determination in writing.

18 Sec. 6. If the executive director, under section 4 of this chapter, 19 or the governor or attorney general, under section 5 of this 20 chapter, determines that a formerly noncompliant licensure rule 21 is now compliant, a licensee is required to pay the license fee to 22 which the licensure rule relates, beginning: 23

(1) from the date the new determination was issued; or

24 (2) if the new determination was issued by the executive 25 director under section 4(a) of this chapter and the licensee 26 sought review by the governor or attorney general under section 4(b) of this chapter, from the date the governor 27 28 attorney general issued a determination; 29 whichever is later.

30 SECTION 51. [EFFECTIVE UPON PASSAGE] (a) After June 31 30, 2023, a rule may be adopted as an emergency rule only for the 32 purposes and through the procedures in IC 4-22-2-37.1 (as effective 33 after June 30, 2023). Any additional authority in a statute outside 34 IC 4-22 to adopt rules through the emergency rulemaking 35 procedures in IC 4-22-2-37.1 (as effective before July 1, 2023, or 36 after June 30, 2023) is void. The code revision commission shall

37 provide in calendar year 2023 for the preparation of a bill for 38 introduction in the 2024 regular session of the general assembly 39 that removes language outside IC 4-22 permitting the adoption of 40 emergency rules. 41

(b) This SECTION expires January 1, 2024.

SECTION 52. An emergency is declared for this act.

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